

2.3 Sortieren

- 2.3.1 Einleitung
- 2.3.2 Einfache Sortierverfahren
- 2.3.3 Höhere Sortierverfahren
- 2.3.4 Komplexität von Sortierverfahren
- 2.3.5 Spezielle Sortierverfahren



Quick-Sort

- Idee
 - Divide & Conquer
 - Wähle ein Element R aus
 - Teile Folge in zwei Sub-Folgen
 $R_L \leq R$ und $R_R \geq R$
 - Sortiere R_L und R_R durch rekursiven Aufruf
 - Setze Teilfolgen zusammen: $R_L \oplus R \oplus R_R$



Quick-Sort

h	k	j	e	n	o	l	d	c	b	i	f	a	g	m
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Quick-Sort

h	k	j	e	n	o	l	d	c	b	i	f	a	g	m
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Quick-Sort

h	k	j	e	n	o	l	d	c	b	i	f	a	g	m
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d	g	a	e	f	b	c	h	l	o	i	n	j	k	m
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Quick-Sort

h	k	j	e	n	o	l	d	c	b	i	f	a	g	m
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d	g	a	e	f	b	c	h	l	o	i	n	j	k	m
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Quick-Sort

h	k	j	e	n	o	l	d	c	b	i	f	a	g	m
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d	g	a	e	f	b	c	h	l	o	i	n	j	k	m
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b	c	a	d	f	e	g	h	j	k	i	l	n	o	m
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Quick-Sort

h	k	j	e	n	o	l	d	c	b	i	f	a	g	m
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d	g	a	e	f	b	c	h	l	o	i	n	j	k	m
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b	c	a	d	f	e	g	h	j	k	i	l	n	o	m
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Quick-Sort

h	k	j	e	n	o	l	d	c	b	i	f	a	g	m
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d	g	a	e	f	b	c	h	l	o	i	n	j	k	m
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b	c	a	d	f	e	g	h	j	k	i	l	n	o	m
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a	b	c	d	e	f	g	h	i	j	k	l	m	n	o
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Quick-Sort: Algorithmus

- QuickSort(A[l..r])
 - if l < r then
 - m ← Partition(A[l..r])
 - QuickSort(A[l..m-1])
 - QuickSort(A[m+1..r])
- Aufruf mit: QuickSort(A[1..n])

Quick-Sort: Algorithmus

- Partition(A[l..r])
 $i \leftarrow l-1; j \leftarrow r$
 while $i < j$ do
 $i \leftarrow i+1$
 while $A[i] < A[r]$ do
 $i \leftarrow i+1$
 $j \leftarrow j-1$
 while $A[j] > A[r]$ do
 $j \leftarrow j-1$
 swap(A[i], A[j])
 swap(A[i], A[j])
 swap(A[i], A[r])
 return i

Quick-Sort: Algorithmus

- **Achtung:** Verwende Sentinel, um korrekte Terminierung der inneren Schleife zu garantieren.

- Aufruf mit

$A[0] \leftarrow -\infty$

QuickSort($A[1..n]$)

- **while** $A[i] < A[r]$ **do**

$i \leftarrow i+1$

- **while** $A[j] > A[r]$ **do**

$j \leftarrow j-1$

Bricht spätestens
für $i = r$ ab

Bricht spätestens
für $j = l - 1$ ab

Quick-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
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Quick-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
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Quick-Sort: Beispiel

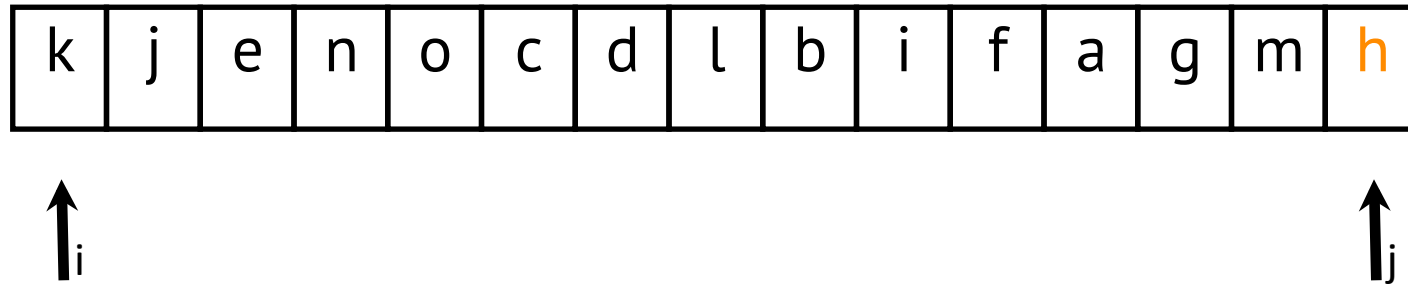
k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
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↑
i

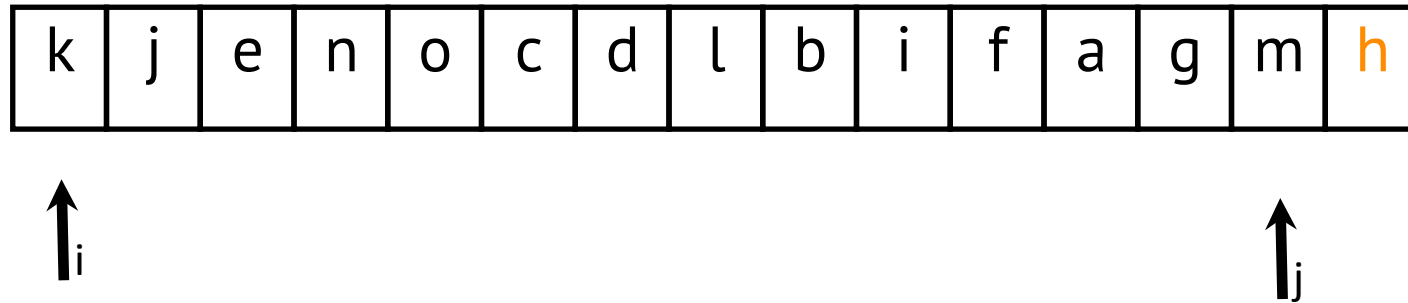
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j



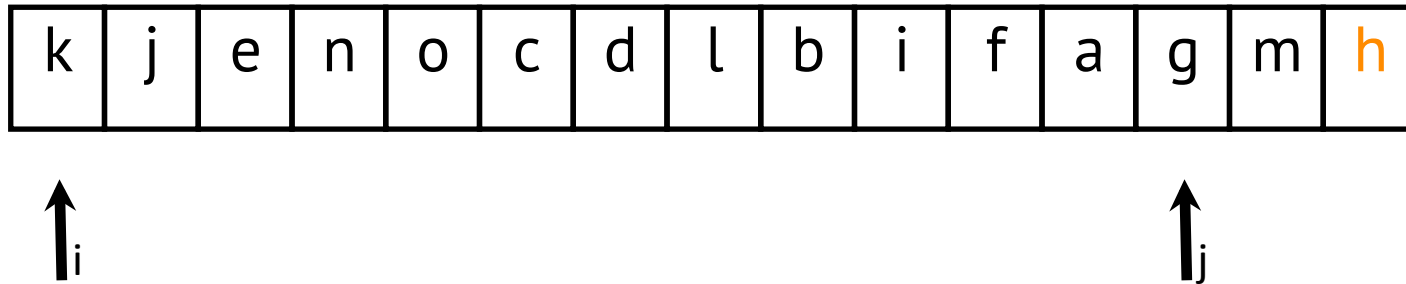
Quick-Sort: Beispiel



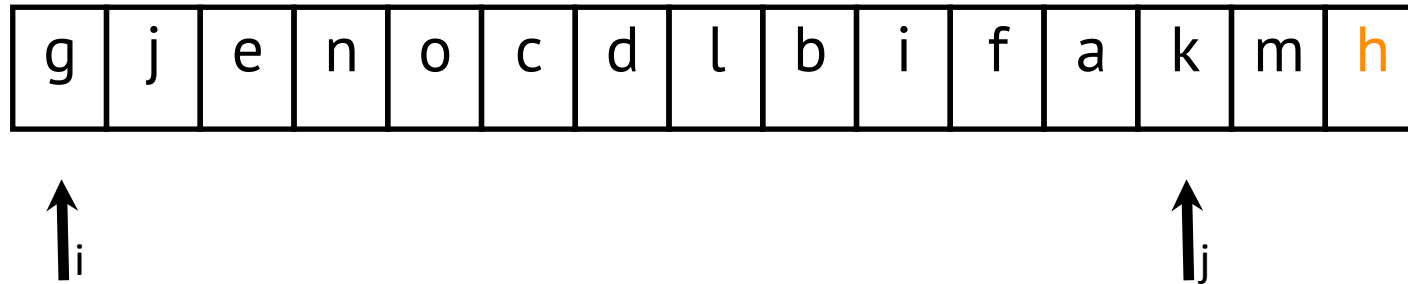
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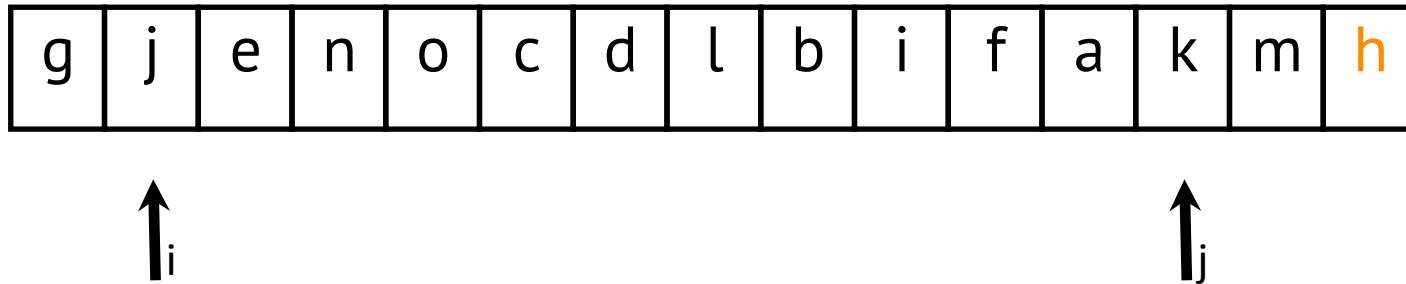
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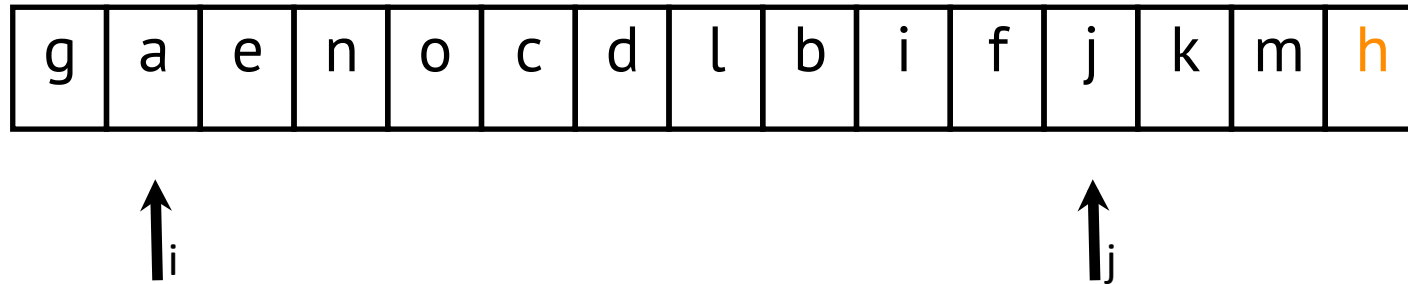
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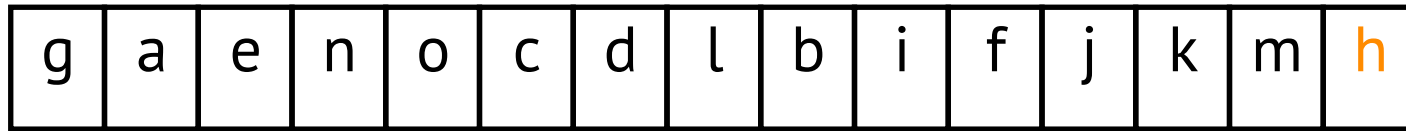
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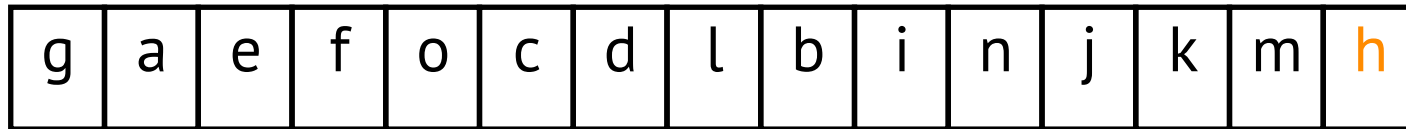
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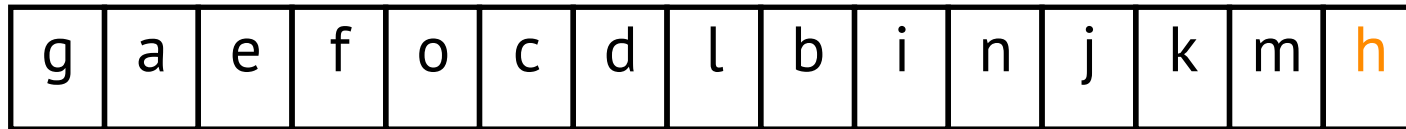
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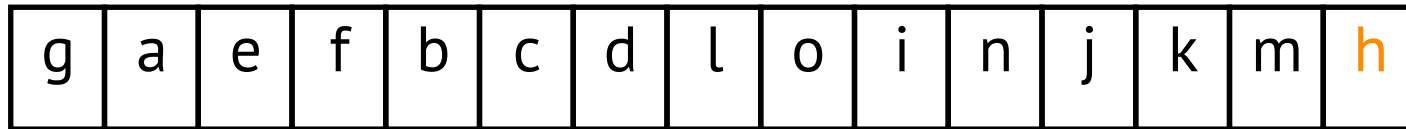
Quick-Sort: Beispiel



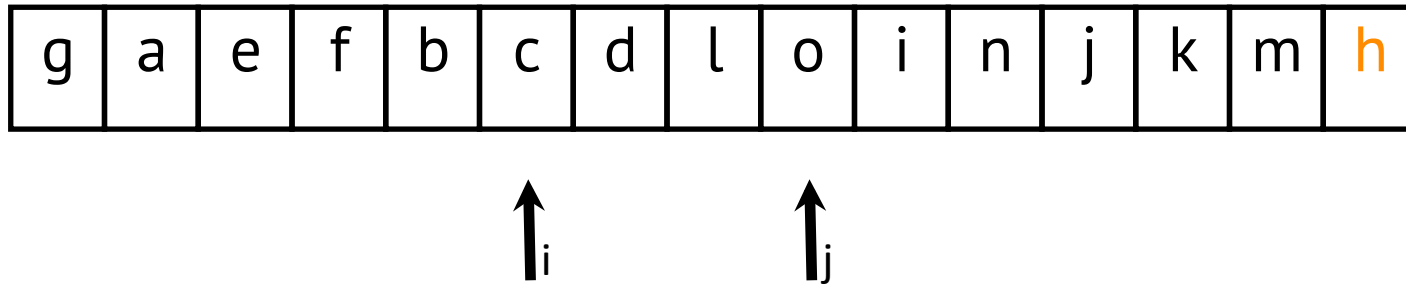
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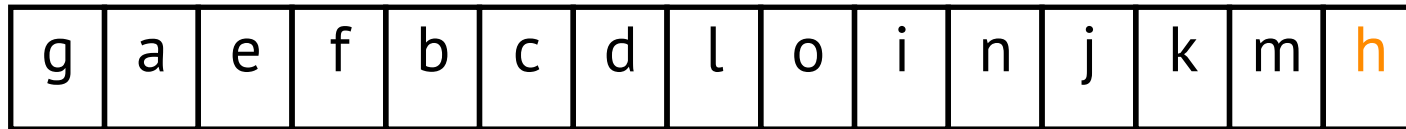
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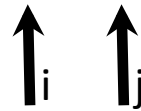
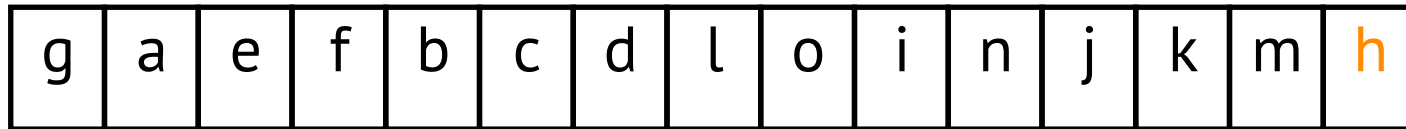
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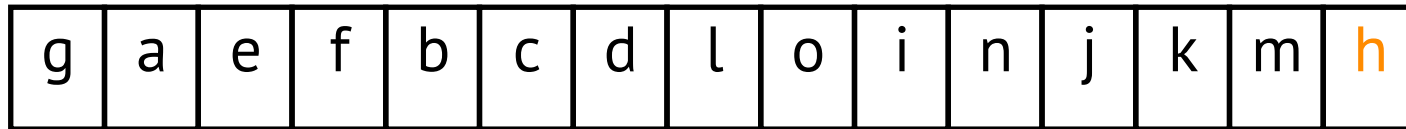
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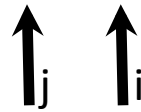
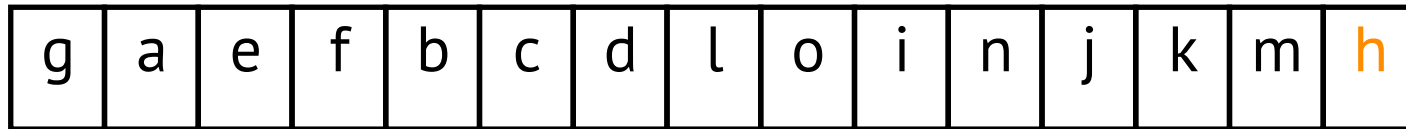
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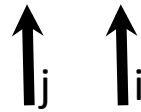
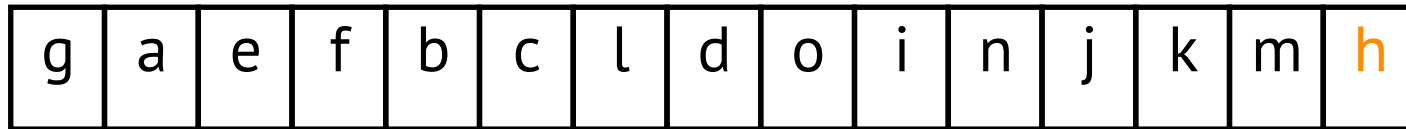
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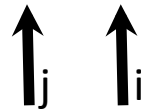
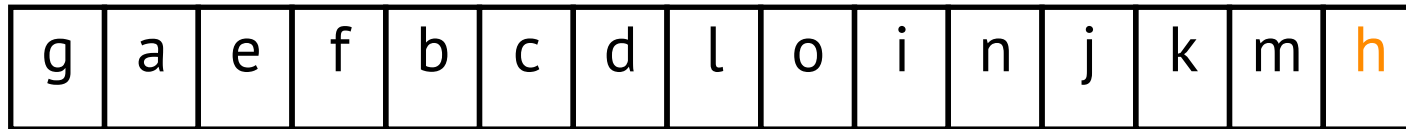
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Quick-Sort: Beispiel



Quick-Sort: Beispiel



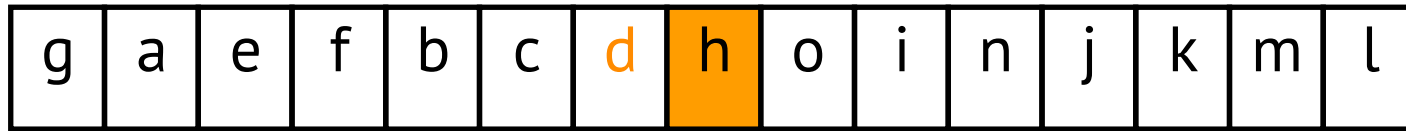
Quick-Sort: Beispiel

g	a	e	f	b	c	d	h	o	i	n	j	k	m	l
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↑_j ↑_i



Quick-Sort: Beispiel

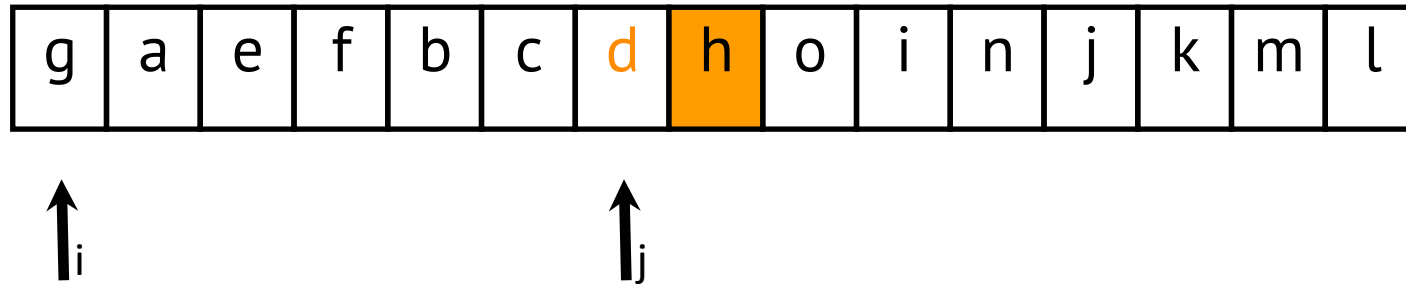


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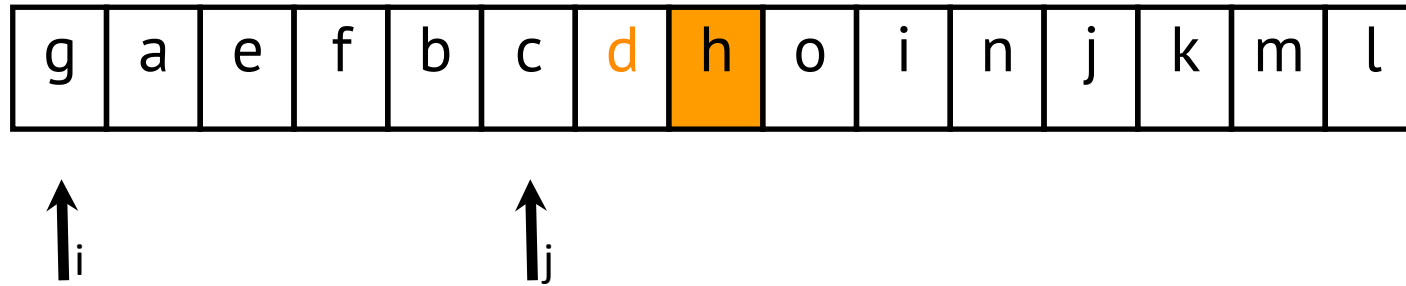
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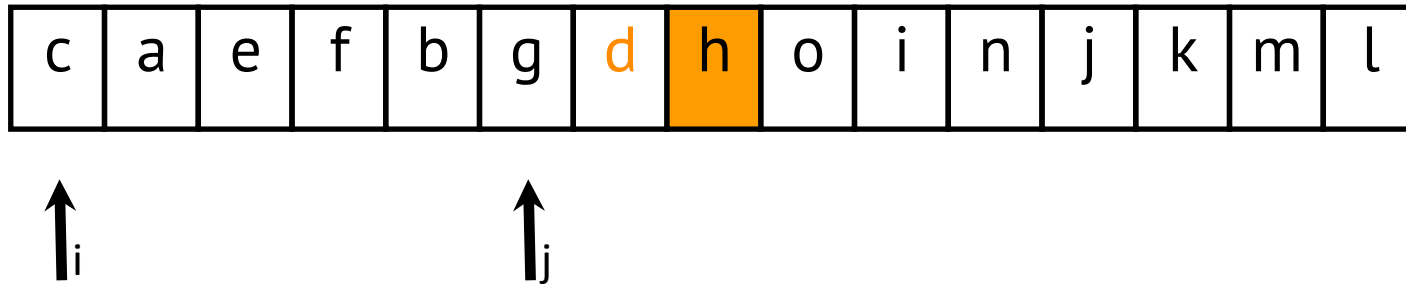
Quick-Sort: Beispiel



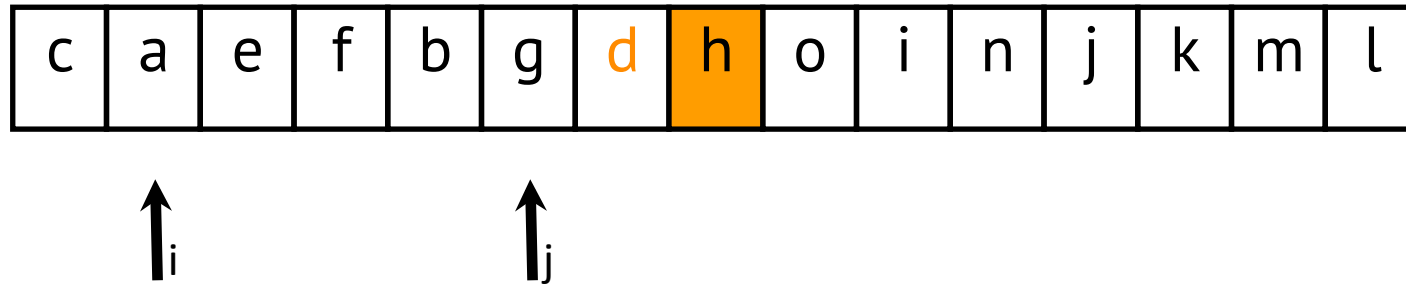
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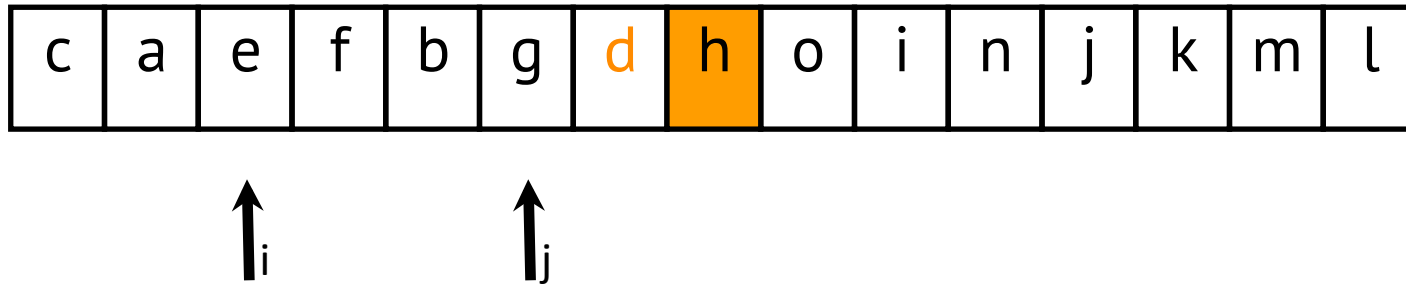
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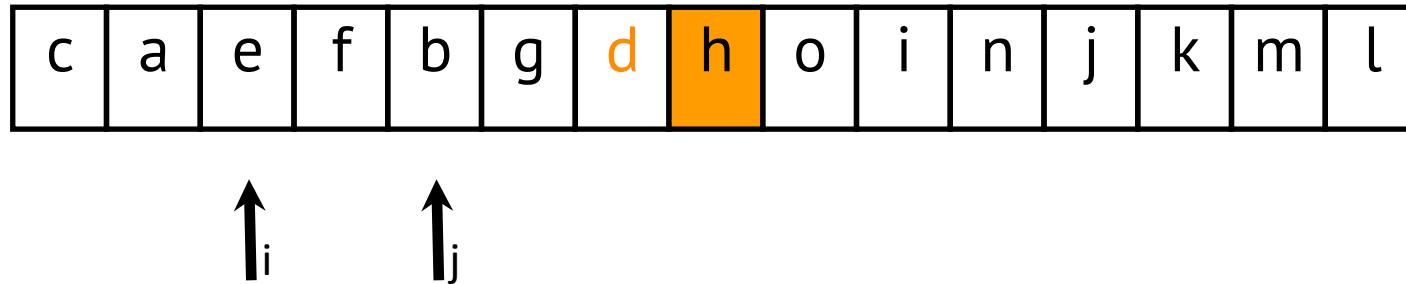
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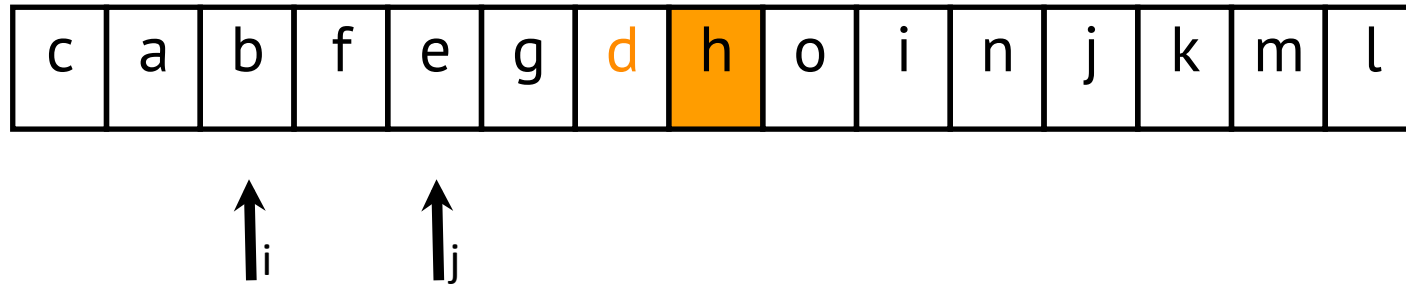
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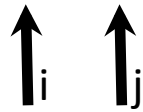
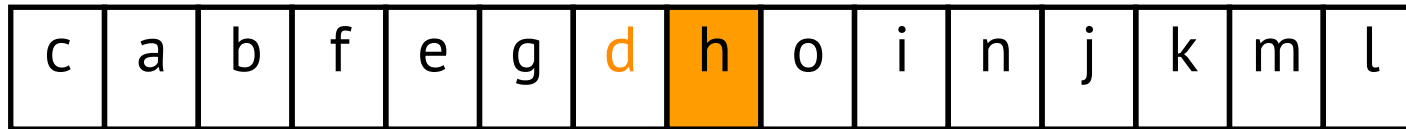
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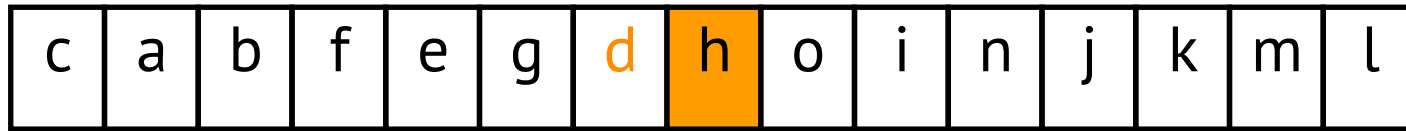
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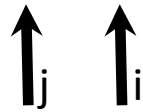
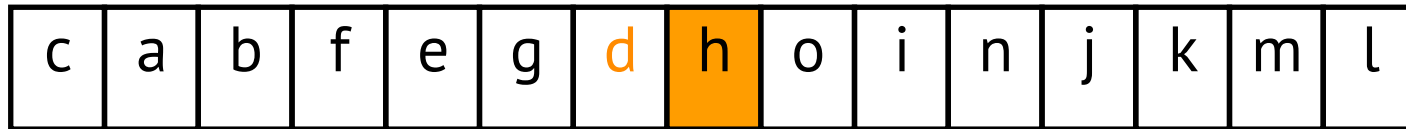
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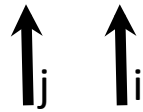
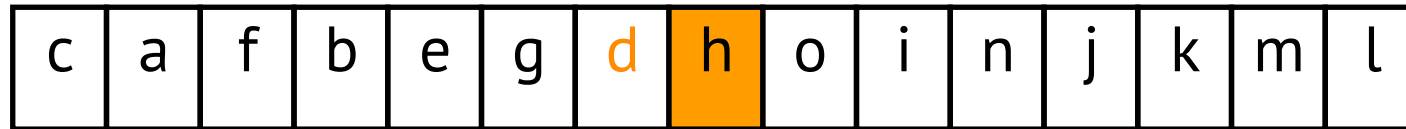
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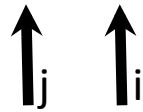
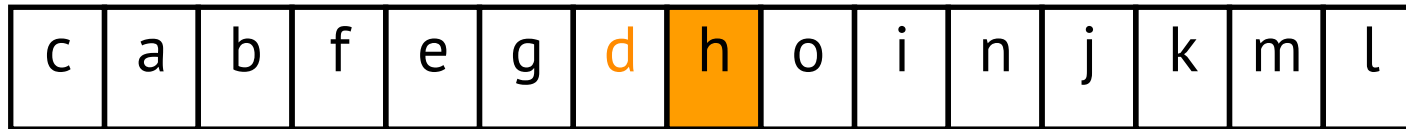
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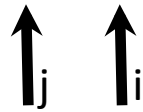
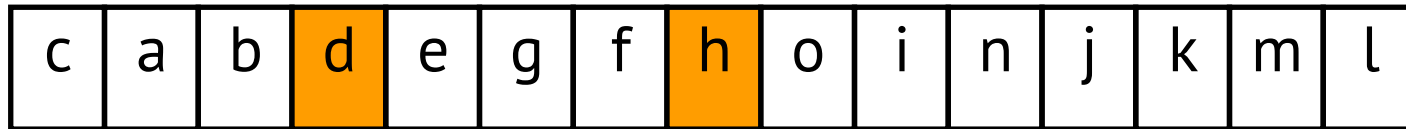
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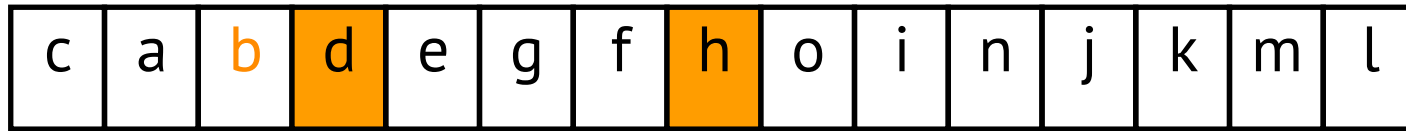
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Quick-Sort: Beispiel



Quick-Sort: Beispiel

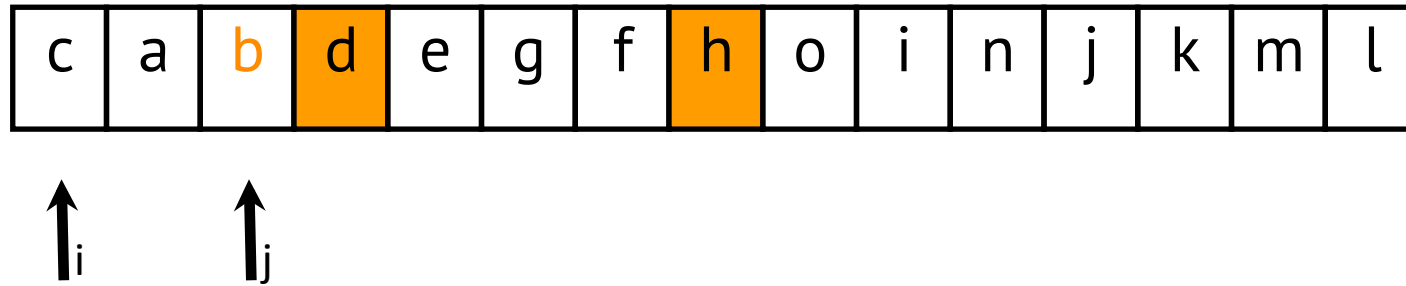


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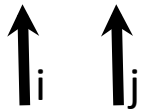
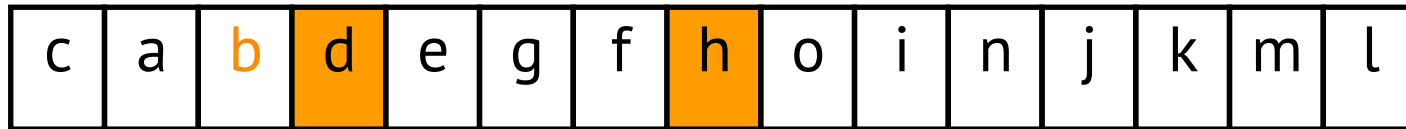
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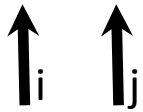
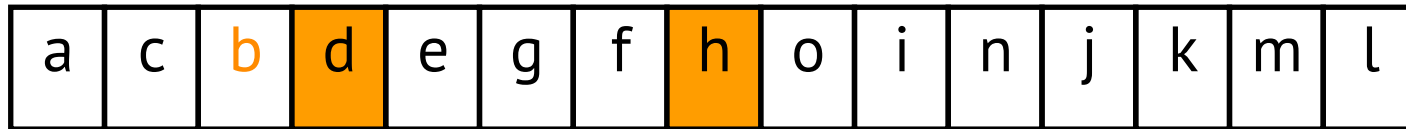
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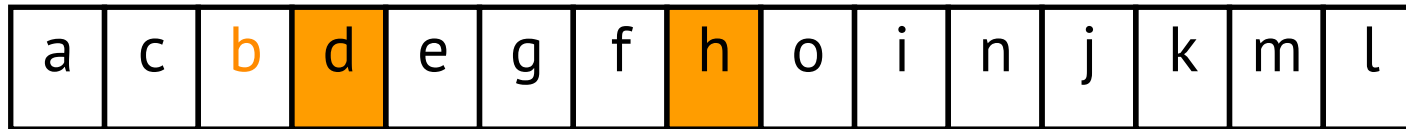
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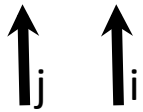
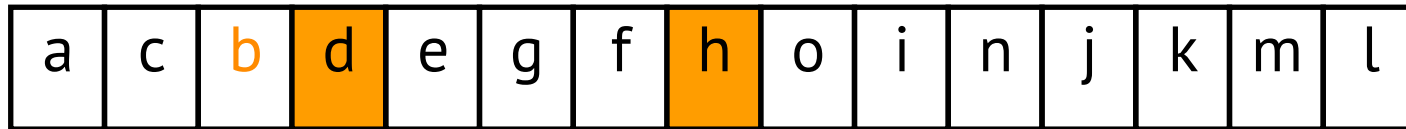
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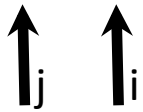
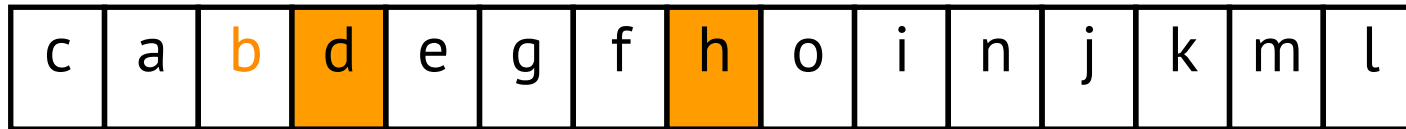
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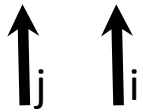
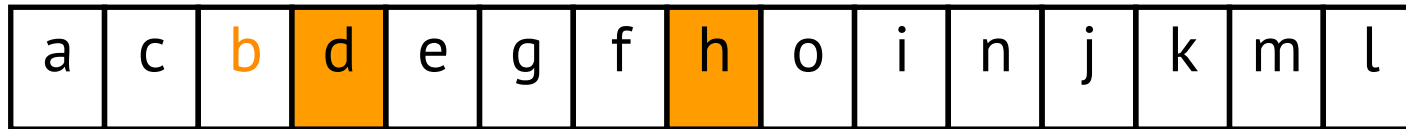
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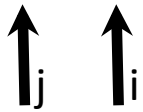
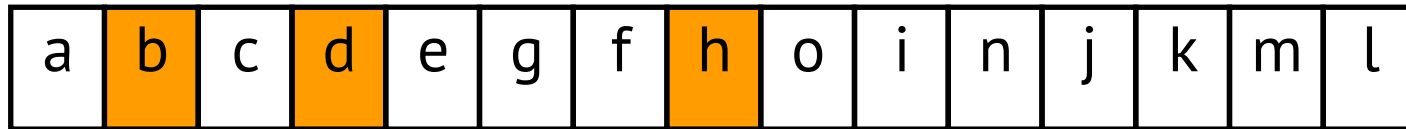
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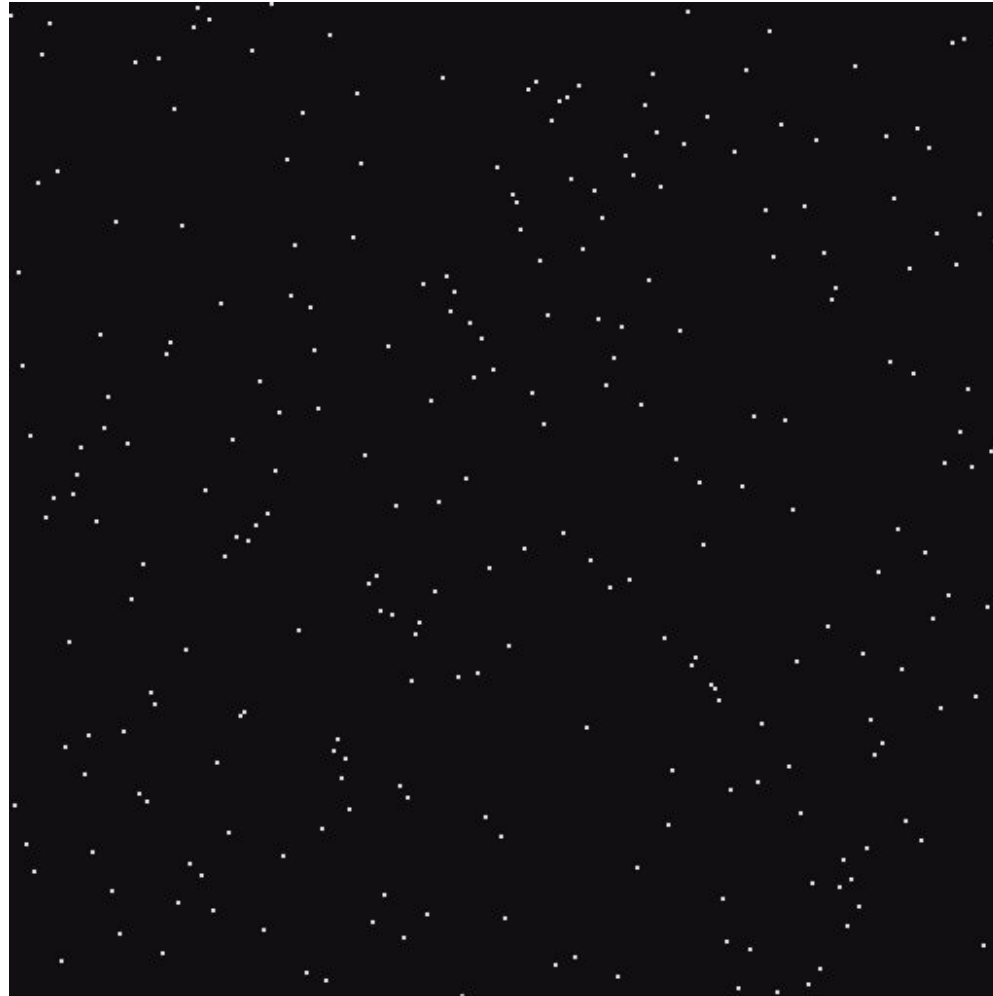
Quick-Sort: Beispiel



Quick-Sort: Beispiel



Quick-Sort: Beispiel



- Aufwand
 - Best Case:
 $T(n) \approx 2 \times T(n/2) + O(n) \Rightarrow O(n \times \log n)$
(Folge zerfällt immer in zwei gleich große Teile)
 - Worst Case:
 $T(n) \approx T(n-1) + O(n) \Rightarrow O(n^2)$
(Folge ist bereits auf- oder absteigend sortiert)
 - Average Case: ...

Quick-Sort: Aufwand

- Aufwand
 - Average case

$$T(n) \approx O(n) + \frac{1}{n} \left(\sum_{i=0}^{n-1} T(i) + T(n-1-i) \right)$$

$$= O(n) + \frac{2}{n} \sum_{i=0}^{n-1} T(i)$$

Quick-Sort: Aufwand

$$nT(n) = cn^2 + 2 \sum_{i=0}^{n-1} T(i)$$

$$(n-1)T(n-1) = c(n-1)^2 + 2 \sum_{i=0}^{n-2} T(i)$$

$$nT(n) - (n-1)T(n-1) = cn^2 - c(n-1)^2 + 2T(n-1)$$

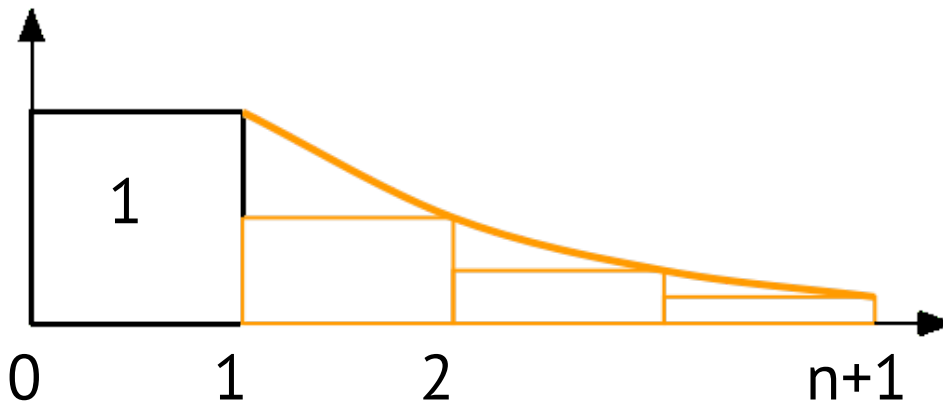
$$nT(n) = 2cn - c + (n+1)T(n-1)$$

Quick-Sort: Aufwand

$$\begin{aligned}T(n) &= c' + \frac{n+1}{n} T(n-1) \\&= c' + \frac{n+1}{n} c' + \frac{n+1}{n-1} T(n-2) \\&= c' + \frac{n+1}{n} c' + \frac{n+1}{n-1} c' + \frac{n+1}{n-2} T(n-3) \\&= c' \left(\frac{n+1}{n+1} + \frac{n+1}{n} + \frac{n+1}{n-1} + \dots + \frac{n+1}{1} \right) \\&= c' (n+1) \sum_{i=1}^{n+1} \frac{1}{i} \\&\leq c'' (n+1) \log(n+1) \\&= O(n \log n)\end{aligned}$$

Quick-Sort: Aufwand

$$\begin{aligned}\sum_{i=1}^{n+1} \frac{1}{i} &\leq 1 + \int_1^{n+1} \frac{1}{x} dx \\ &= 1 + \ln(x) \Big|_1^{n+1} \\ &= 1 + \ln(n+1) \\ &= O(\log(n+1))\end{aligned}$$



Quick-Sort: Aufwand

- Average Case: $T(n) = O(n \times \log n)$
- Heuristiken zur Verbesserung der Performanz
 - Pivot-Element: $(A[l] + A[r]) / 2, \dots$
 - Bei kleinen Folgen auf Insertion-Sort wechseln
(oder auch nicht: Cache-Kohärenz)
 - Iterative Implementierung mit Stack

- Idee
 - Quick-Sort ist schnell, wenn die beiden Teilfolgen nach Partition() ungefähr die gleiche Größe haben.
 - Teile die Folge **ohne** Vorsortieren in zwei gleiche Teile
 - Sortiere die Teilfolgen
 - Kombiniere die Teilergebnisse



Merge-Sort (rekursiv)

- MergeSort(A[l..r])

if l < r then

m ← (l+r)/2

MergeSort(A[l..m])

MergeSort(A[m+1..r])

Merge(A[l..m,m+1..r])

vgl. mit
Quick-Sort

- Aufruf mit: MergeSort(A,1,n)

Merge-Sort (rekursiv)

- Merge($A[l..m, m+1..r]$)
 new $B[1..r-l+1]$; $i \leftarrow 1$; $j \leftarrow l$; $k \leftarrow m+1$
 while $j \leq m$ and $k \leq r$ do
 if $A[j] \leq A[k]$ then
 $B[i++] \leftarrow A[j++]$
 else
 $B[i++] \leftarrow A[k++]$
 while $j \leq m$ do $B[i++] \leftarrow A[j++]$
 while $k \leq r$ do $B[i++] \leftarrow A[k++]$
 for $i \leftarrow l$ to r do
 $A[i] \leftarrow B[i-l+1]$



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

k	j	e	n
---	---	---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

k	j	e	n
---	---	---	---

k	j
---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

k	j	e	n
---	---	---	---

k	j
---	---

k



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

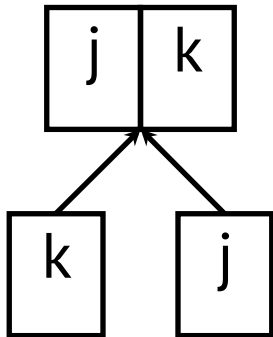
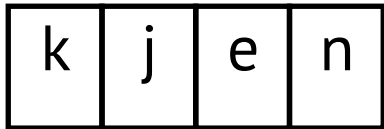
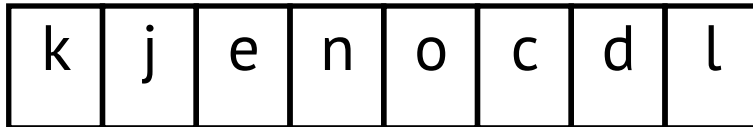
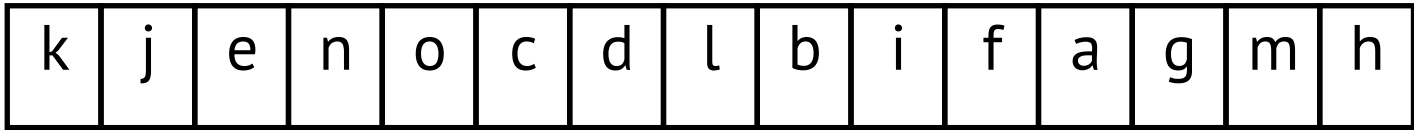
k	j	e	n
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k	j
---	---

k	j
---	---



Merge-Sort: Beispiel



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

k	j	e	n
---	---	---	---

j	k
---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
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k	j	e	n
---	---	---	---

j	k
---	---

e	n
---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

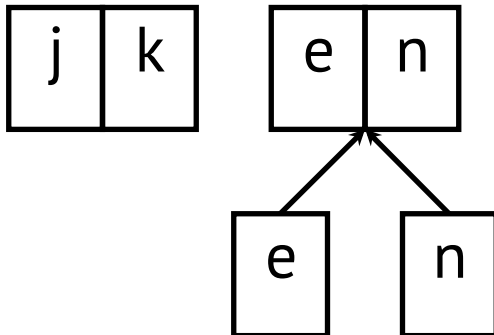
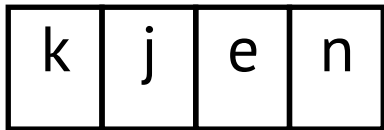
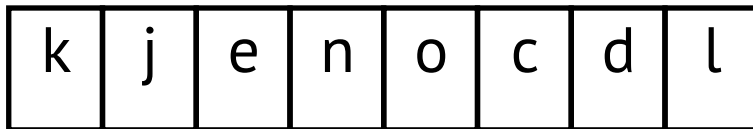
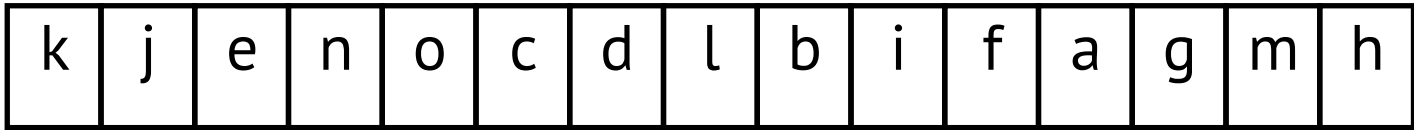
k	j	e	n
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j	k	e	n
---	---	---	---

e	n
---	---



Merge-Sort: Beispiel



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

k	j	e	n
---	---	---	---

j	k
---	---

e	n
---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

e	j	k	n
---	---	---	---

j	k
---	---

e	n
---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

e	j	k	n
---	---	---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

e	j	k	n
---	---	---	---

o	c	d	l
---	---	---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

e	j	k	n
---	---	---	---

o	c	d	l
---	---	---	---

o	c
---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

e	j	k	n
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o	c	d	l
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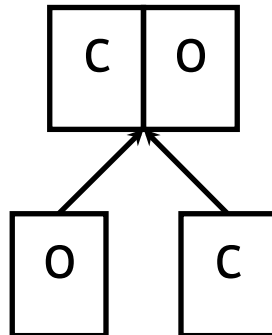
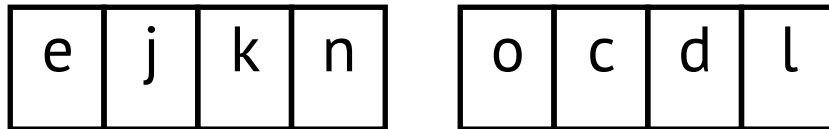
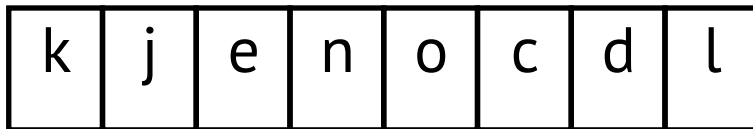
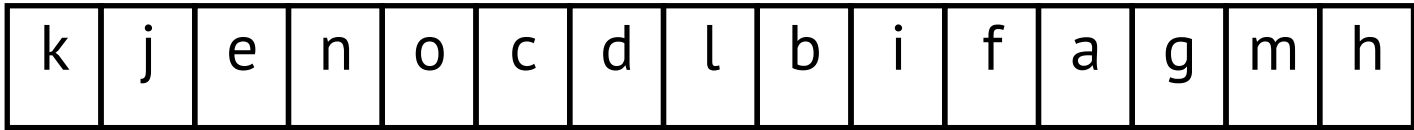
o	c
---	---

o

c



Merge-Sort: Beispiel



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

e	j	k	n
---	---	---	---

o	c	d	l
---	---	---	---

c	o
---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

e	j	k	n
---	---	---	---

o	c	d	l
---	---	---	---

c	o
---	---

d	l
---	---



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

e	j	k	n
---	---	---	---

o	c	d	l
---	---	---	---

c	o
---	---

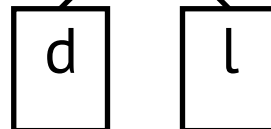
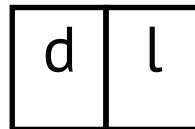
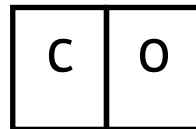
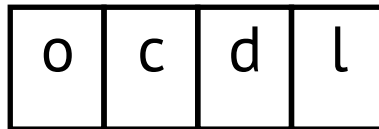
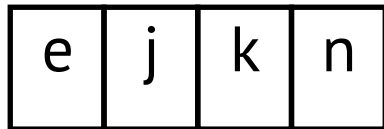
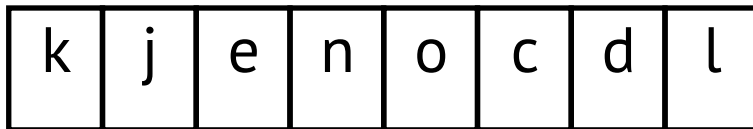
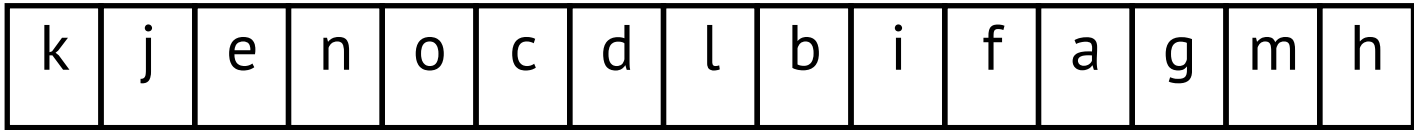
d	l
---	---

d

l



Merge-Sort: Beispiel



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

e	j	k	n
---	---	---	---

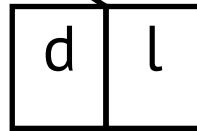
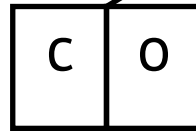
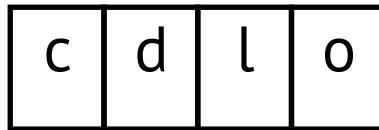
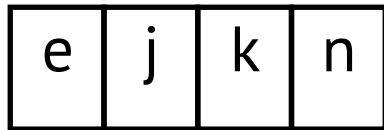
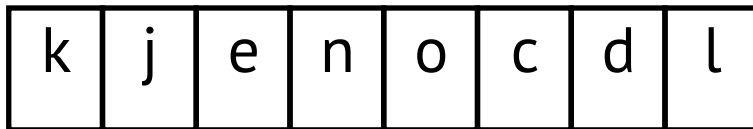
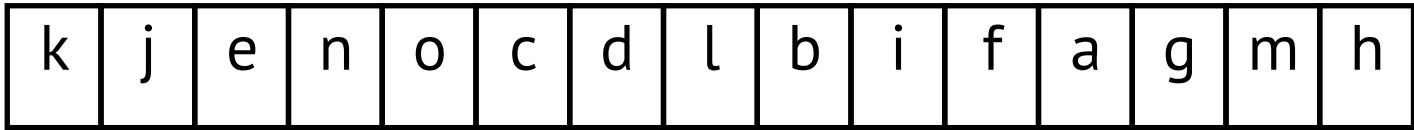
o	c	d	l
---	---	---	---

c	o
---	---

d	l
---	---



Merge-Sort: Beispiel



Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

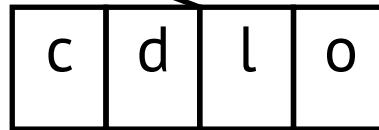
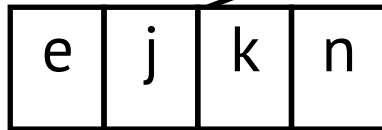
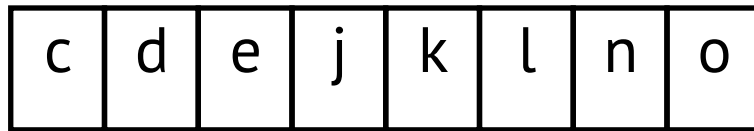
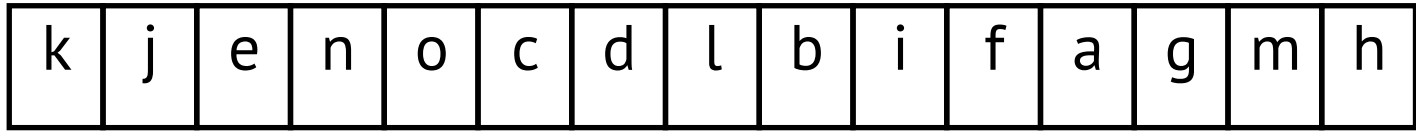
k	j	e	n	o	c	d	l
---	---	---	---	---	---	---	---

e	j	k	n
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c	d	l	o
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Merge-Sort: Beispiel



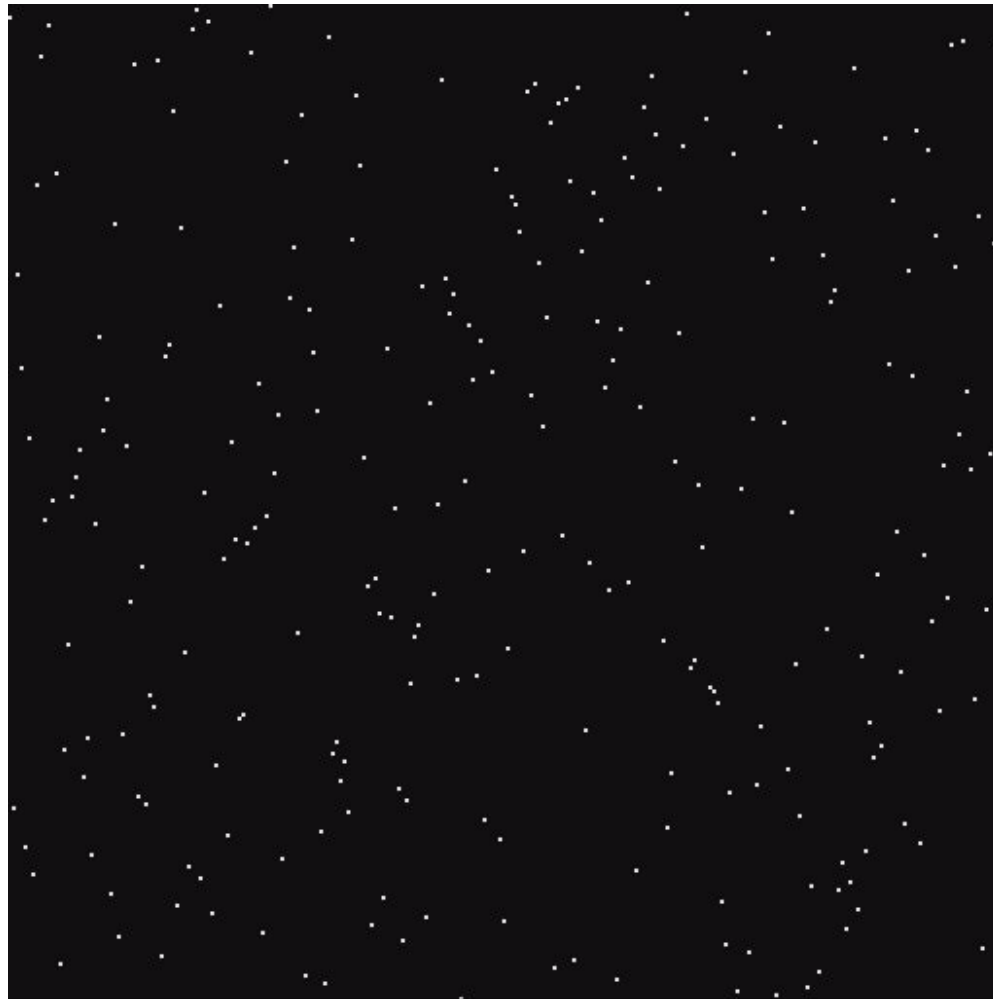
Merge-Sort: Beispiel

k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

c	d	e	j	k	l	n	o
---	---	---	---	---	---	---	---

USW.

Merge-Sort (rekursiv)



Merge-Sort: Aufwand

- Das Mischen zweier Folgen der Länge L_1 und L_2 erfordert höchstens L_1+L_2 Vergleiche und genau $2 \times (L_1+L_2)$ Kopieroperationen ($i++$ in jedem Schleifendurchlauf)
- Summe aller Folgenlängen auf jeder Rekursionsstufe = n
- Anzahl der Rekursionsstufen = $\log(n)$
- Best = Worst = Average Case = $O(n \times \log n)$
- Aber: Doppelter Speicherbedarf !



Merge-Sort (iterativ)

- Die Zerlegung verändert die Folge nicht.
- Die top-down Rekursion steuert nur die Reihenfolge beim Mischen.
- Fasse die unsortierte Liste als Folge von sortierten einelementigen Folgen auf.
- Mische die Teilfolgen bottom-up



Merge-Sort (iterativ)

- MergeSort(A[1..n])
 - $k \leftarrow 1$
 - while $k < n$ do
 - $i \leftarrow 1$
 - while $i + k - 1 < n$ do
 - $l \leftarrow i$
 - $m \leftarrow i + k - 1$
 - $r \leftarrow \text{Min}(n, i + 2 * k - 1)$
 - Merge(A[l..m, m+1..r])
 - $i \leftarrow i + 2 * k$
 - $k \leftarrow 2 * k$



Merge-Sort (iterativ): Beispiel

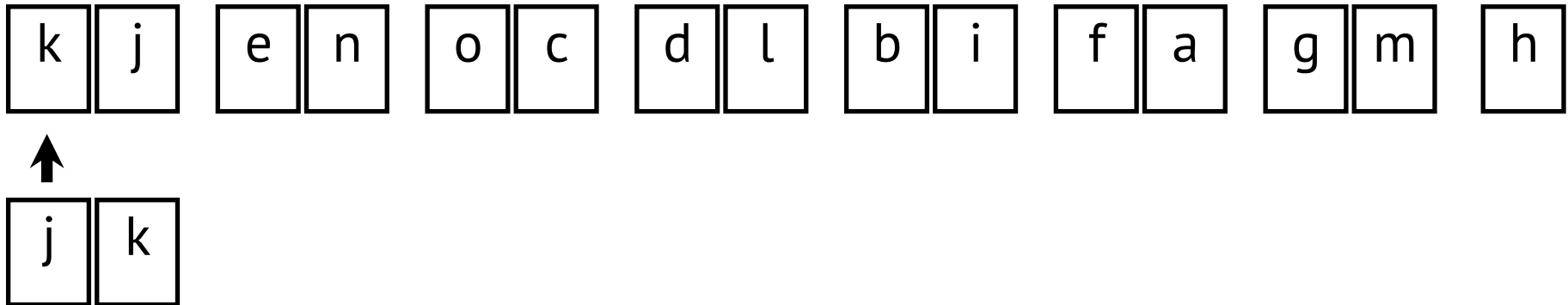
k	j	e	n	o	c	d	l	b	i	f	a	g	m	h
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

Merge-Sort (iterativ): Beispiel

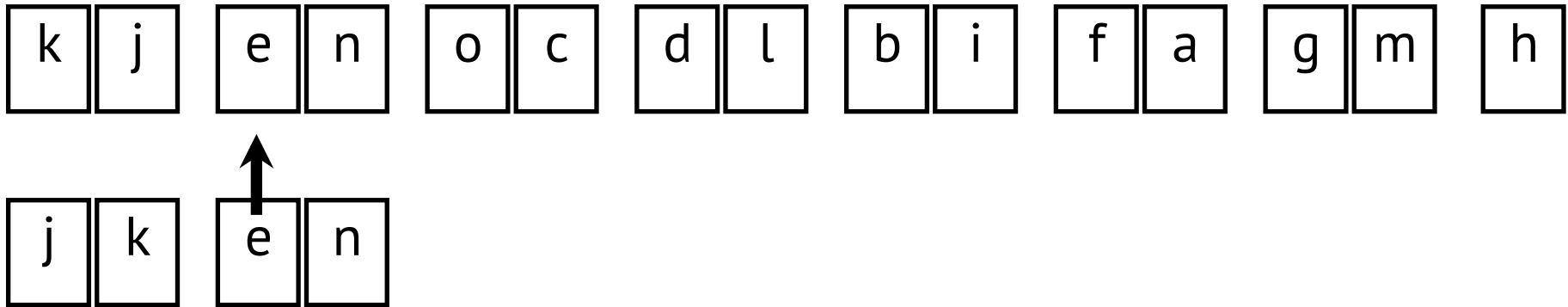
k j e n o c d l b i f a g m h



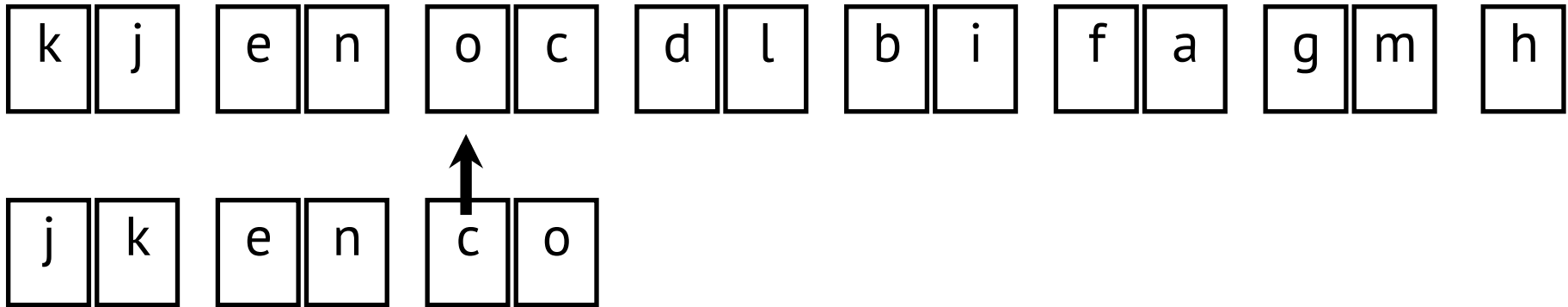
Merge-Sort (iterativ): Beispiel



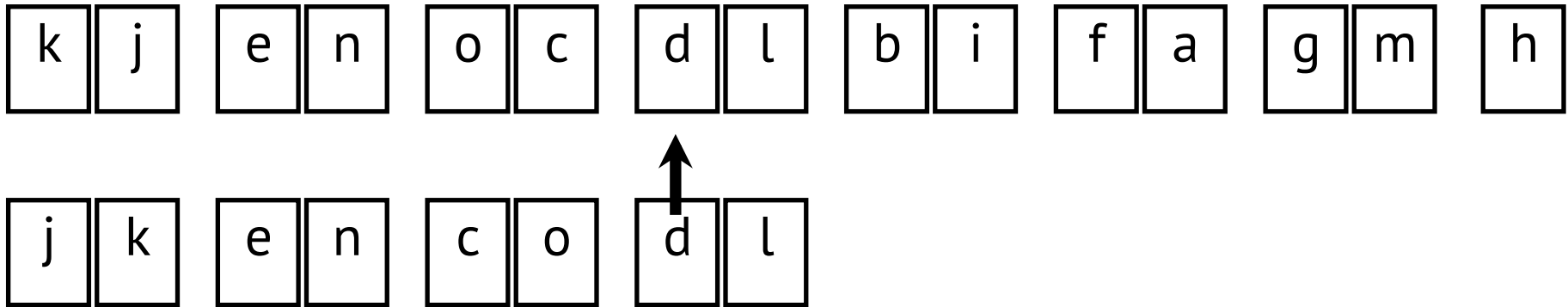
Merge-Sort (iterativ): Beispiel



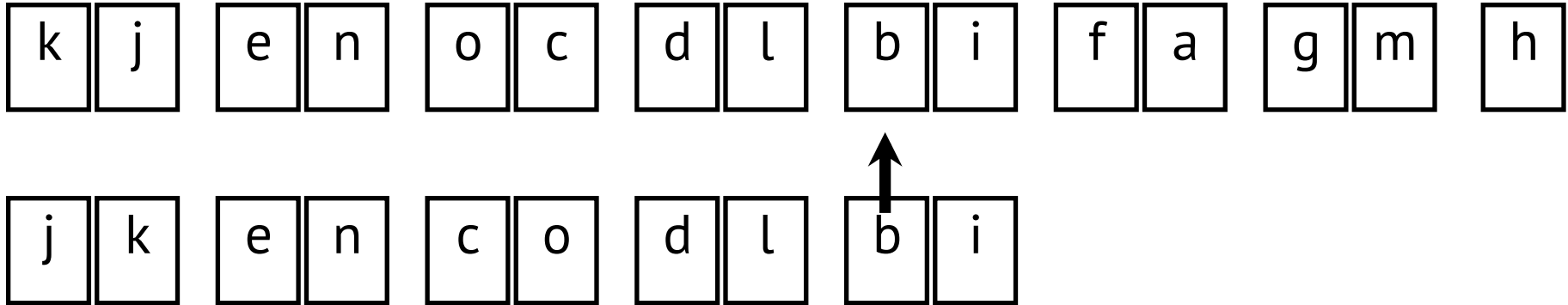
Merge-Sort (iterativ): Beispiel



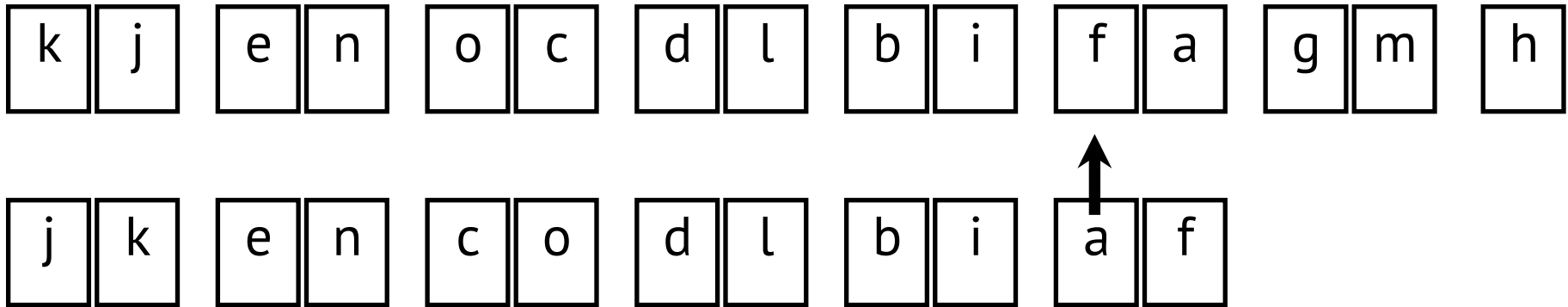
Merge-Sort (iterativ): Beispiel



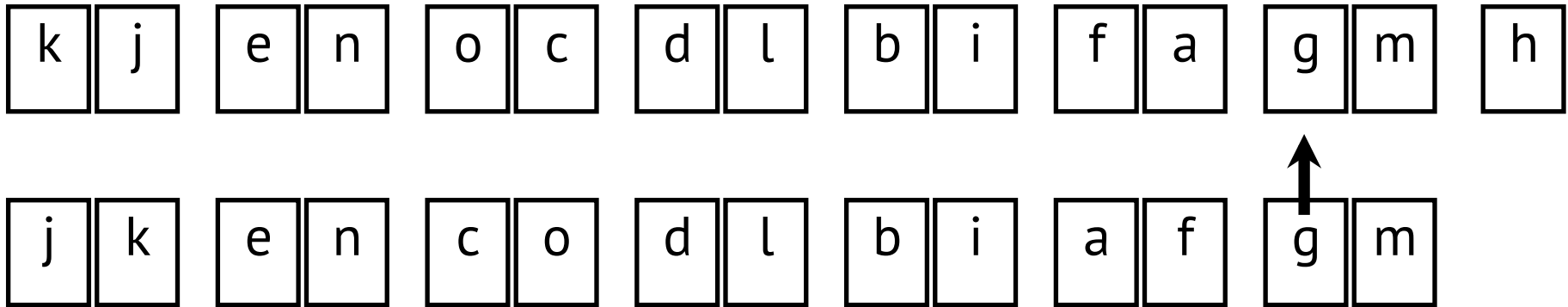
Merge-Sort (iterativ): Beispiel



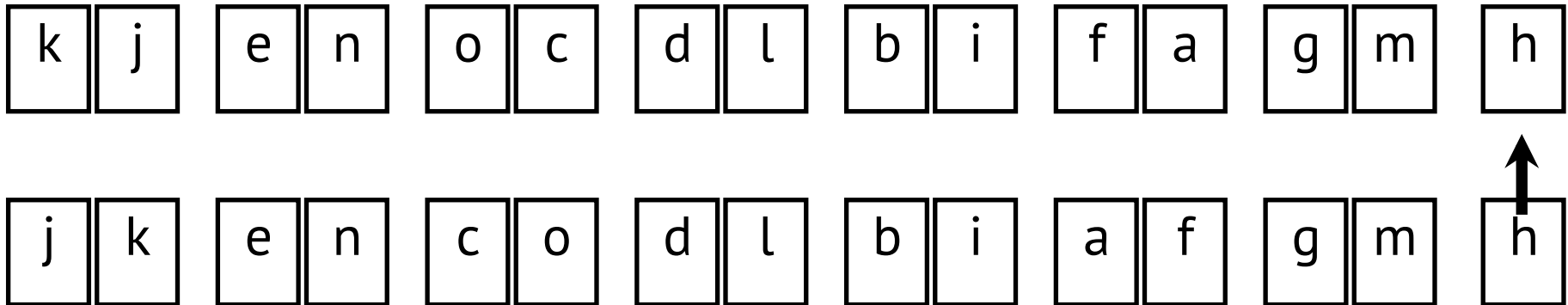
Merge-Sort (iterativ): Beispiel



Merge-Sort (iterativ): Beispiel



Merge-Sort (iterativ): Beispiel



Merge-Sort (iterativ): Beispiel

k j e n o c d l b i f a g m h

j k e n c o d l b i a f g m h



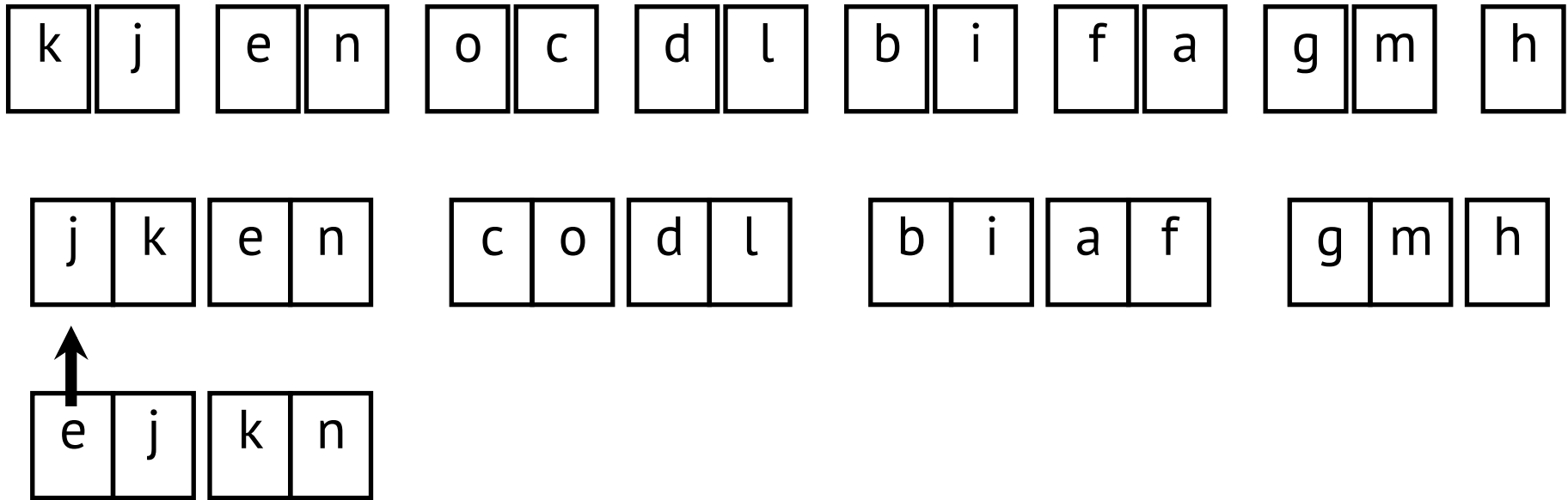
Merge-Sort (iterativ): Beispiel

k j e n o c d l b i f a g m h

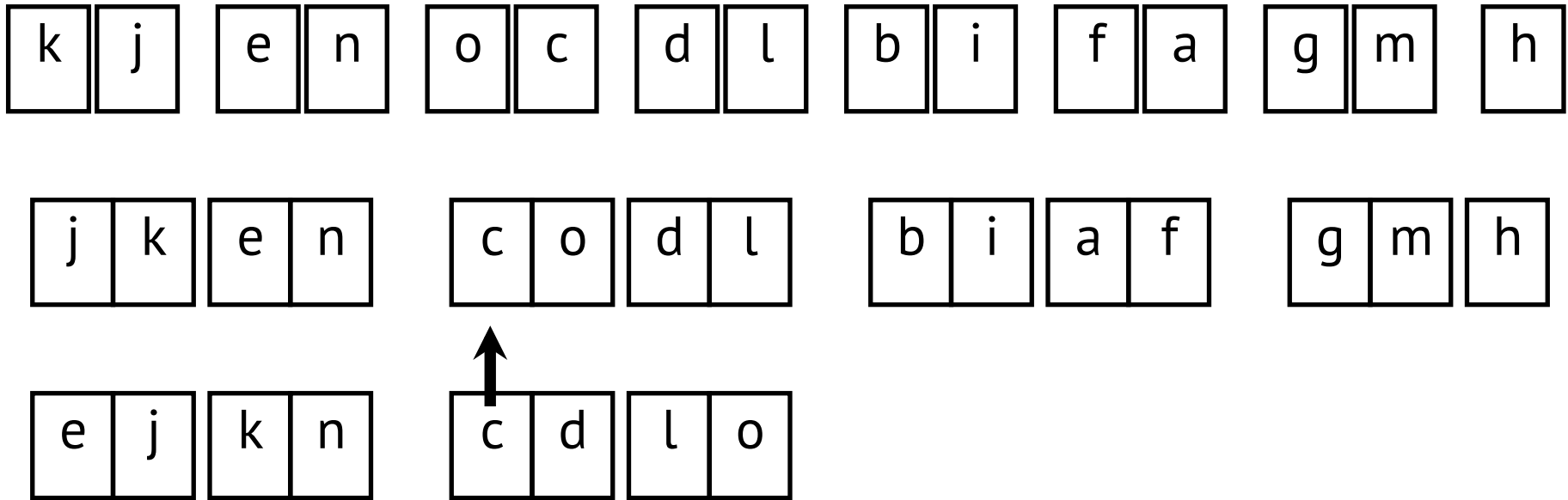
j k e n c o d l b i a f g m h



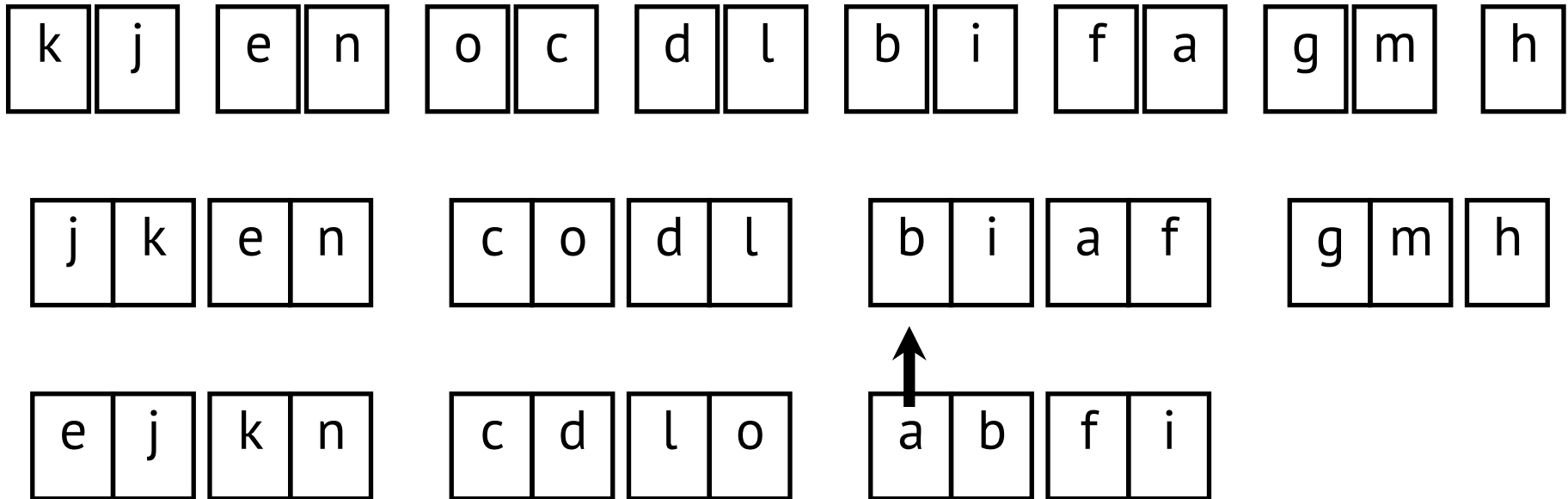
Merge-Sort (iterativ): Beispiel



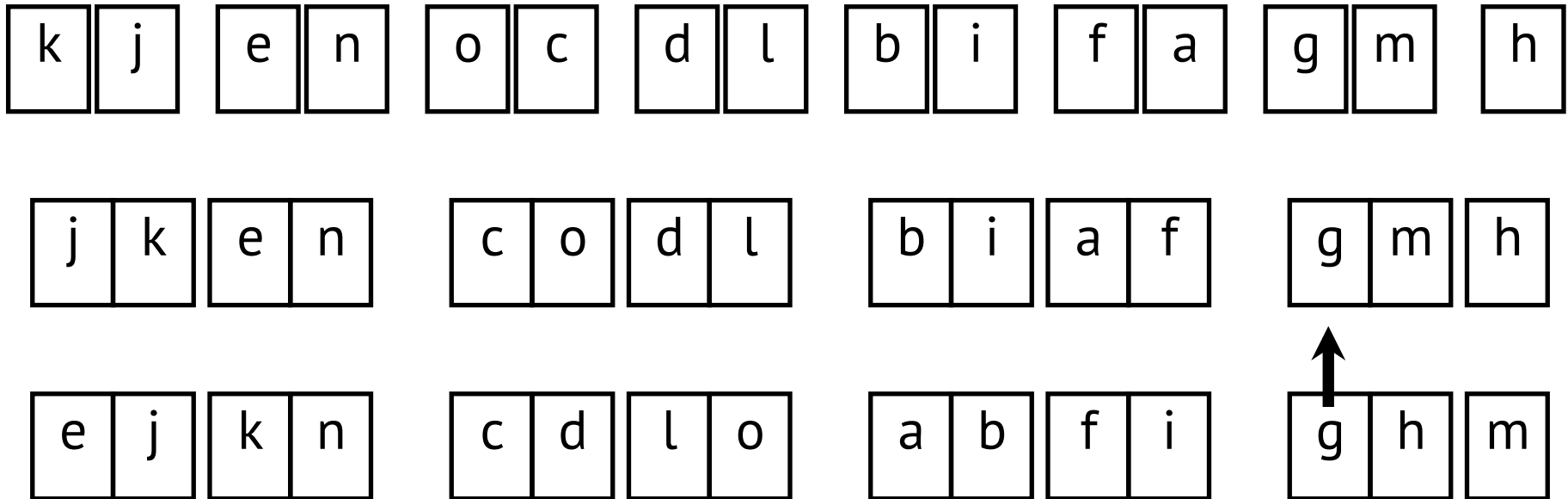
Merge-Sort (iterativ): Beispiel



Merge-Sort (iterativ): Beispiel



Merge-Sort (iterativ): Beispiel



Merge-Sort (iterativ): Beispiel

k j e n o c d l b i f a g m h

j k e n c o d l b i a f g m h

e j k n c d l o a b f i g h m



Merge-Sort (iterativ): Beispiel

k j e n o c d l b i f a g m h

j k e n c o d l b i a f g m h

e j k n c d l o a b f i g h m



Merge-Sort (iterativ): Beispiel

k j e n o c d l b i f a g m h

j k e n c o d l b i a f g m h

e j k n c d l o a b f i g h m

↑
c d e j k l n o



Merge-Sort (iterativ): Beispiel

k j e n o c d l b i f a g m h

j k e n c o d l b i a f g m h

e j k n c d l o

a b f i g h m

c d e j k l n o

a b f g h i m
↑



Merge-Sort (iterativ): Beispiel

k j e n o c d l b i f a g m h

j k e n c o d l b i a f g m h

e j k n c d l o a b f i g h m

c d e j k l n o a b f g h i m



Merge-Sort (iterativ): Beispiel

k j e n o c d l b i f a g m h

j k e n c o d l b i a f g m h

e j k n c d l o a b f i g h m

c d e j k l n o a b f g h i m

↑
a b c d e f g h i j k l m n o



Merge-Sort (iterativ): Beispiel

k j e n o c d l b i f a g m h

j k e n c o d l b i a f g m h

e j k n c d l o a b f i g h m

c d e j k l n o a b f g h i m

a b c d e f g h i j k l m n o



Merge-Sort (iterativ)



- Idee
 - Bei Selection-Sort entsteht der $O(n^2)$ -Aufwand durch die lineare Suche nach dem kleinsten (oder größten) Element in der Rest-Liste.
 - Mit einer Prioritätsschlange (Heap) kann man das kleinste Element schneller finden ($O(\log n)$ -Aufwand zum Wiederherstellen der Heap-Bedingung).



Heap-Definition (reprise)

- (Links-)vollständiger Binärbaum in Array-Darstellung
 - Vaterknoten $A[i]$ hat die Nachfolger $A[2 \times i]$ und $A[2 \times i + 1]$
- Heap-Bedingung
 - Node $\geq \max(\text{Left-Subtree})$ und Node $\geq \max(\text{Right-Subtree})$
 - $A[i] \geq A[2 \times i]$ und $A[i] \geq A[2 \times i + 1]$



Heap-Definition (reprise)

- (Links-)vollständiger Binärbaum in Array-Darstellung
 - Vaterknoten $A[i]$ hat die Nachfolger $A[2 \times i]$ und $A[2 \times i + 1]$
- Heap-Bedingung
 - Node $\geq \max(\text{Left-Subtree})$ und Node $\geq \max(\text{Right-Subtree})$
 - $A[\lfloor i/2 \rfloor] \geq A[i]$



Heap-Definition (reprise)

- Ein Array A hat die Heap-Eigenschaft ab Index p , wenn $A[\lfloor i/2 \rfloor] \geq A[i]$ ab Index $2 \times p$ gilt (weil dann $\lfloor i/2 \rfloor = p$).
- Insbesondere: jedes beliebige Array $A[1..n]$ hat die Heap-Eigenschaft ab Index $\lfloor n/2 \rfloor + 1$.



Heap-Sort

- Konvertiere ein beliebiges Array in einen Heap ($A[\lfloor i/2 \rfloor] \geq A[i]$).
- Entferne sukzessive das Top-Element und stelle die Heap-Eigenschaft wieder her (vgl. Deq()-Operation für Prioritätsschlangen in Abschnitt 1.4).
- Heap liefert sortierte Elemente.



Heap-Sort

- Sei $A[1..n]$ ein Heap, Top-Element $A[1]$
- Nach dem Entfernen des Top-Elementes wird $A[n]$ nach $A[1]$ kopiert und durch „Versickern“ die Heap-Eigenschaft wieder hergestellt.
- Danach benutzt der Heap noch die Array-Elemente $A[1..n-1]$.
- $A[n]$ ist also frei und das entfernte Top-Element kann dort abgelegt werden.



Heap-Sort: Algorithmus

- ConvertToHeap($A[1..n]$)
 for $i = \lfloor n/2 \rfloor$ downto 1 do
 Sink($A[1..n], i$)
- Wenn die Schleife bis $i=p$ durchgelaufen ist, hat das Array A ab Index p die Heap-Eigenschaft.



Heap-Sort: Algorithmus

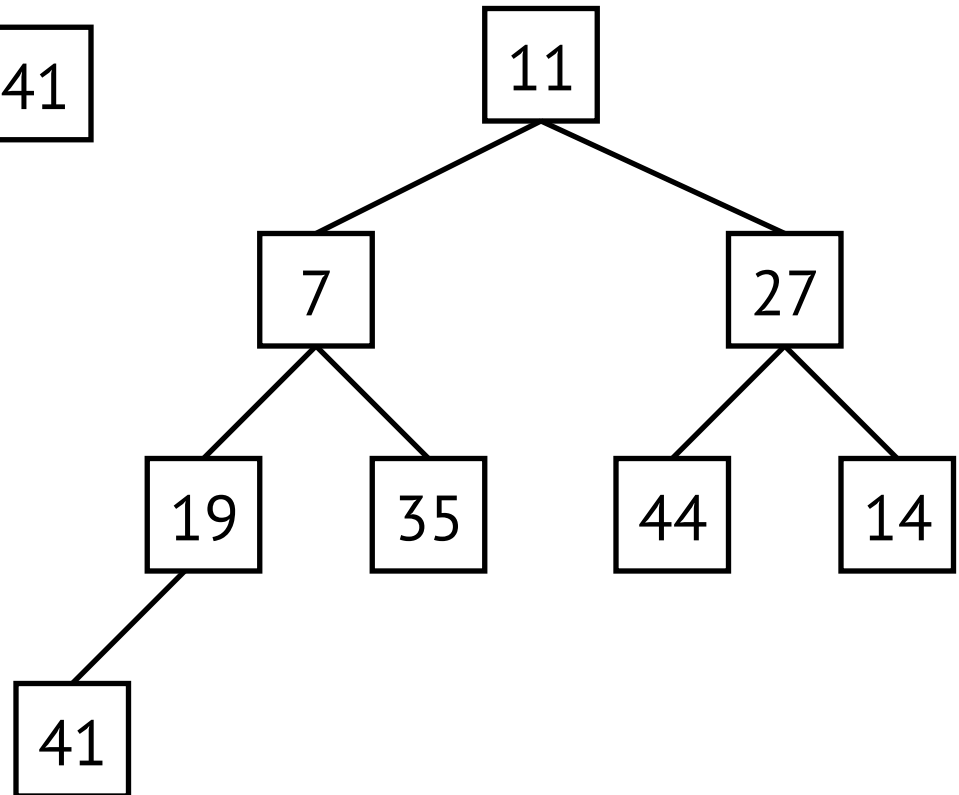
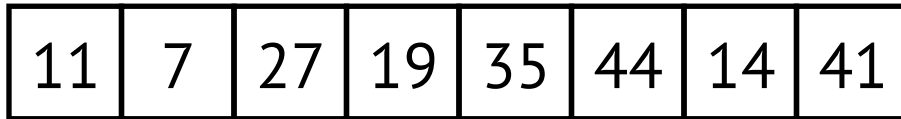
- Sink($A[1..n], i$)
 - while $i \leq \lfloor n/2 \rfloor$ do
 - $j \leftarrow 2 * i$
 - if $j < n$ and $A[j+1] > A[j]$ then
 - $j \leftarrow j+1$
 - if $A[j] > A[i]$ then
 - Swap($A[j], A[i]$)
 - $i \leftarrow j$
 - else
 - $i \leftarrow n$

Heap-Sort: Algorithmus

- HeapSort($A[1..n]$)
 ConvertToHeap($A[1..n]$)
 for $i \leftarrow n$ downto 1 do
 Swap($A[1], A[i]$)
 Sink($A[1..i-1], 1$)

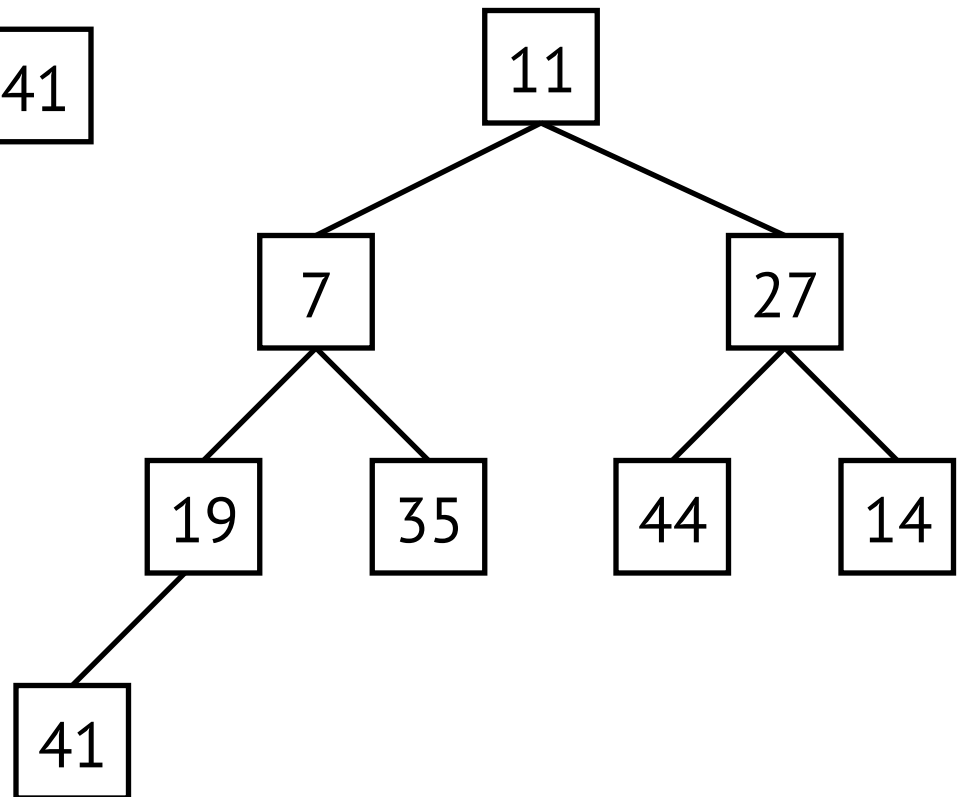
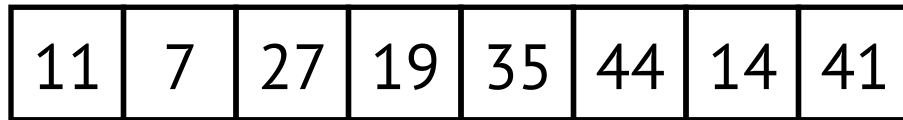


Heap-Sort: Beispiel



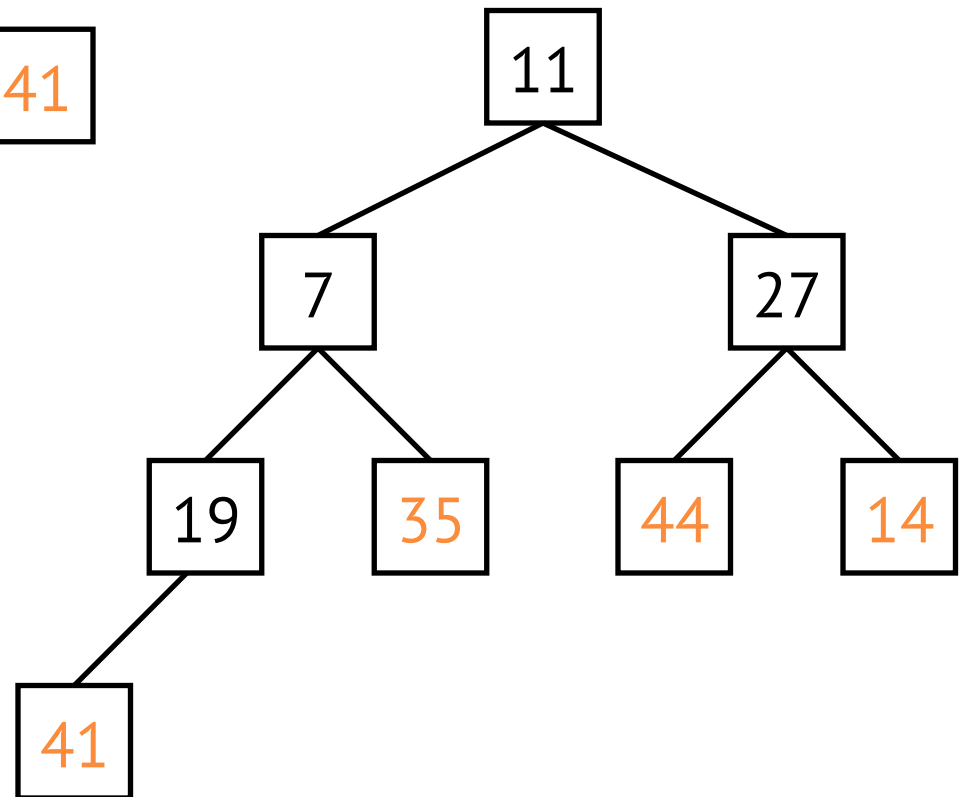
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



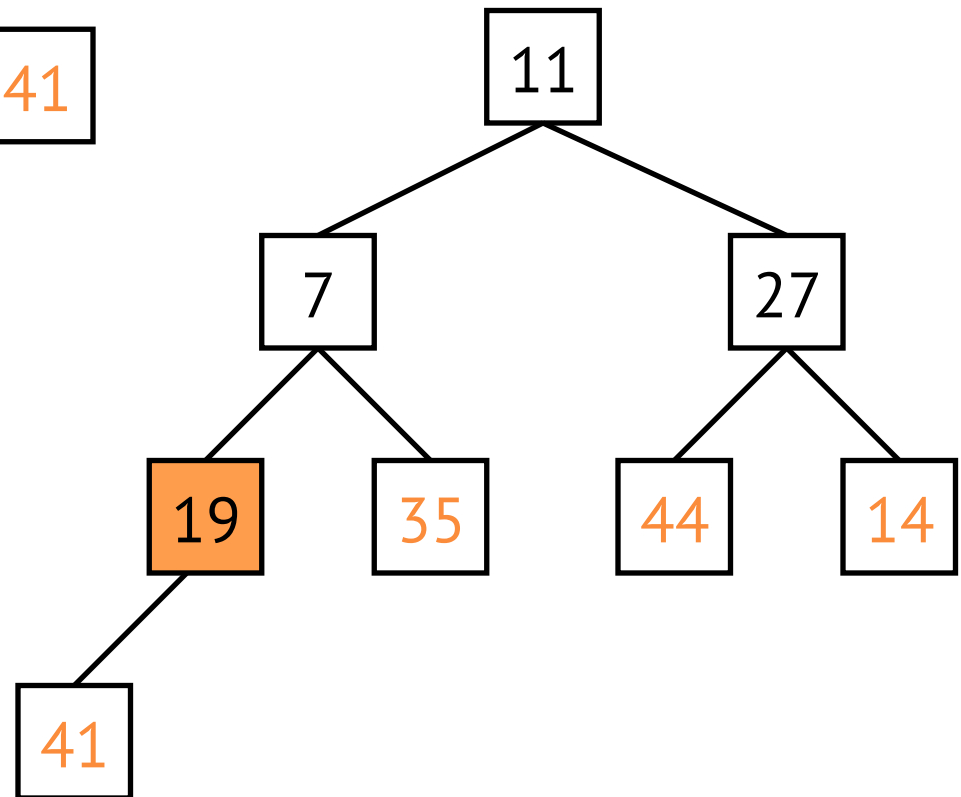
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



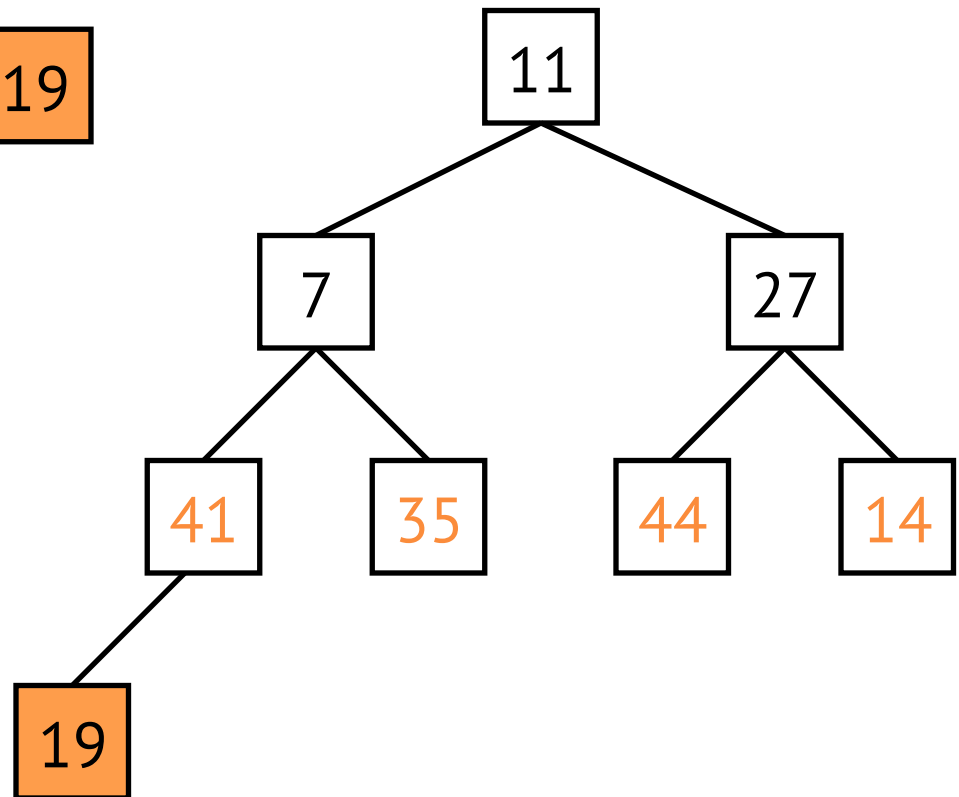
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



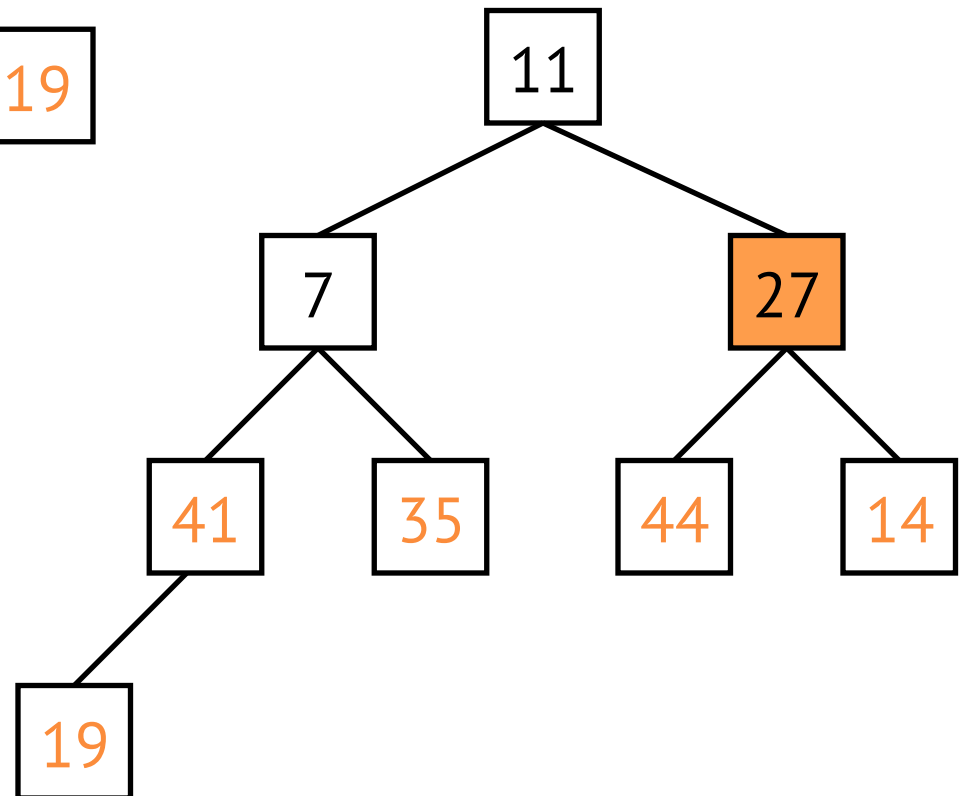
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



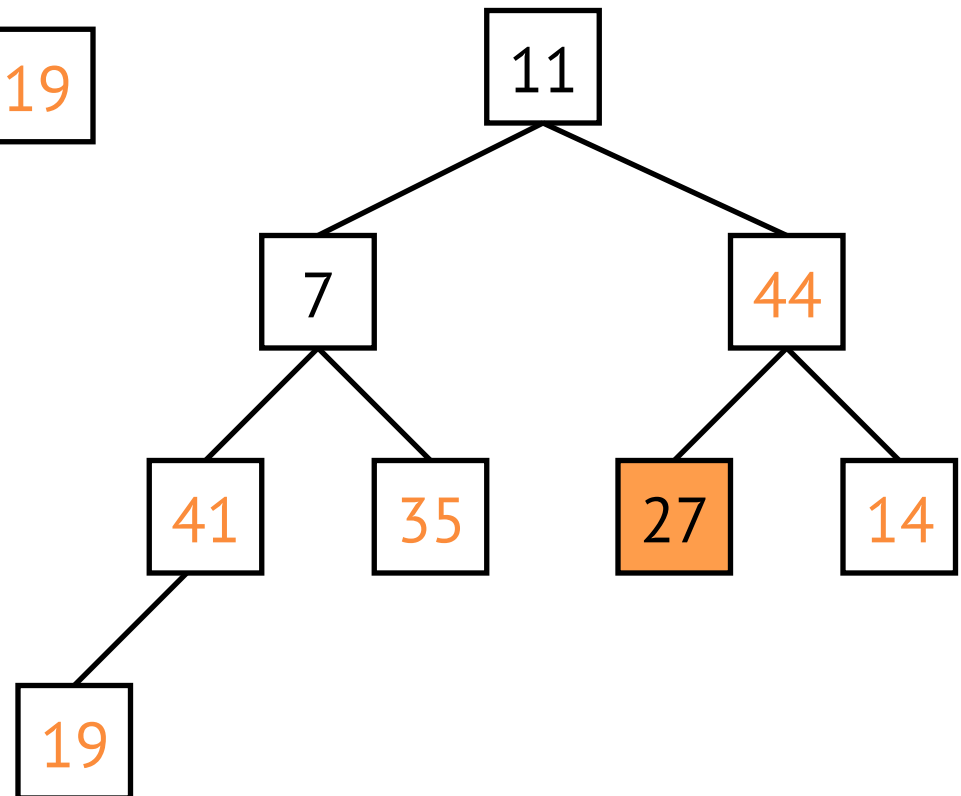
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



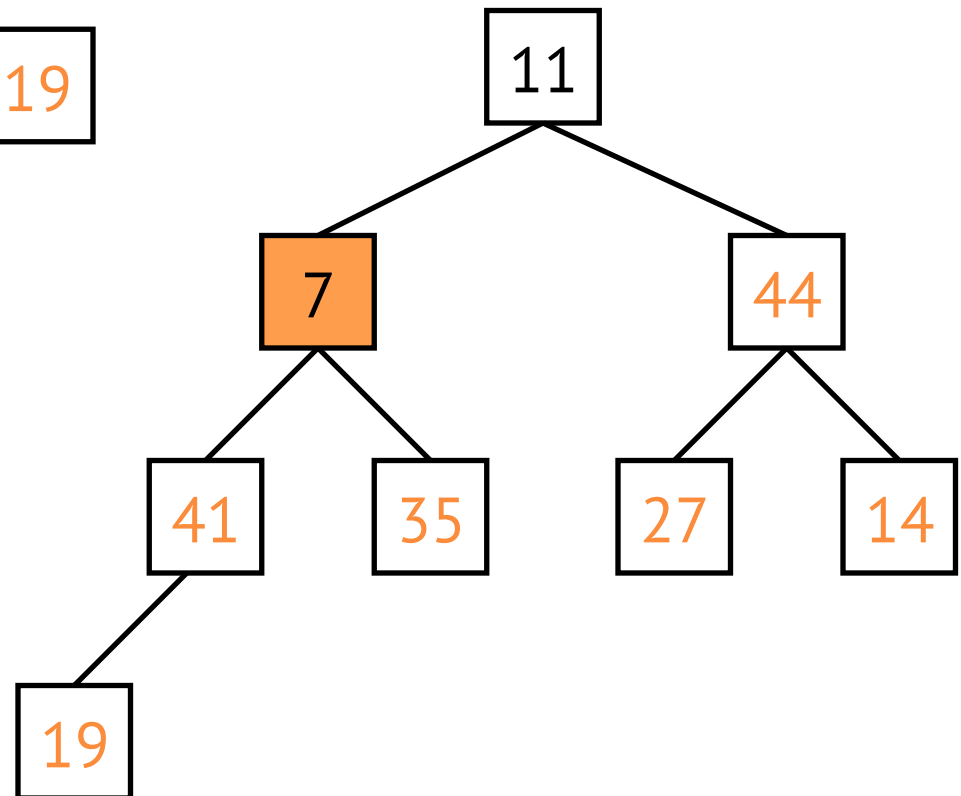
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



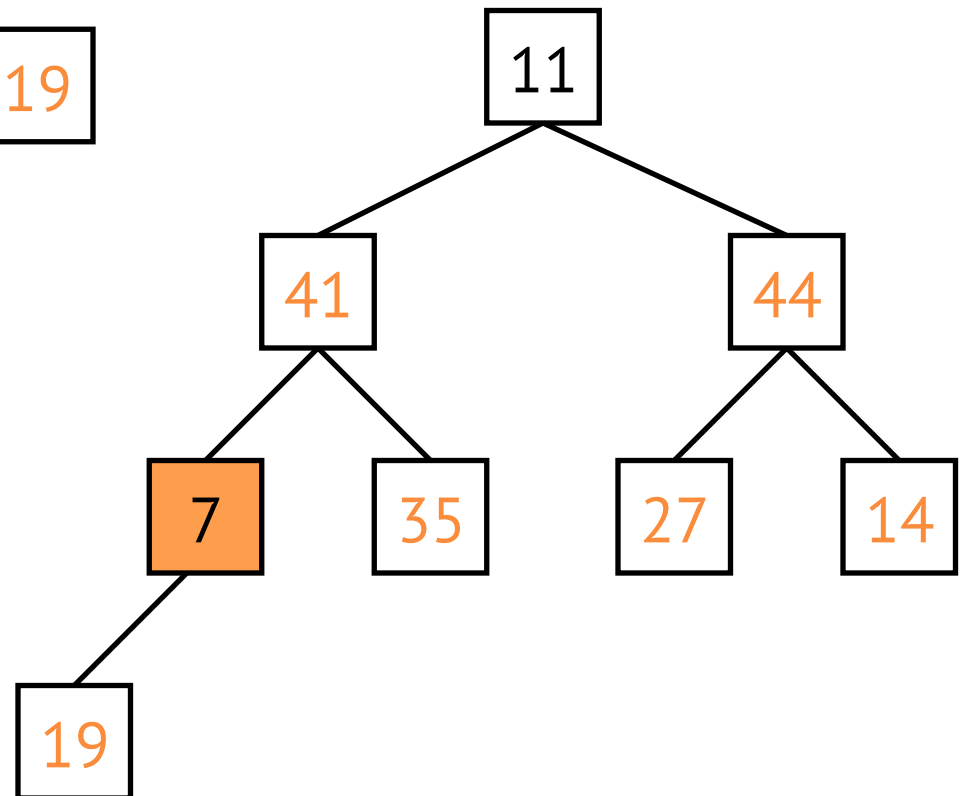
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



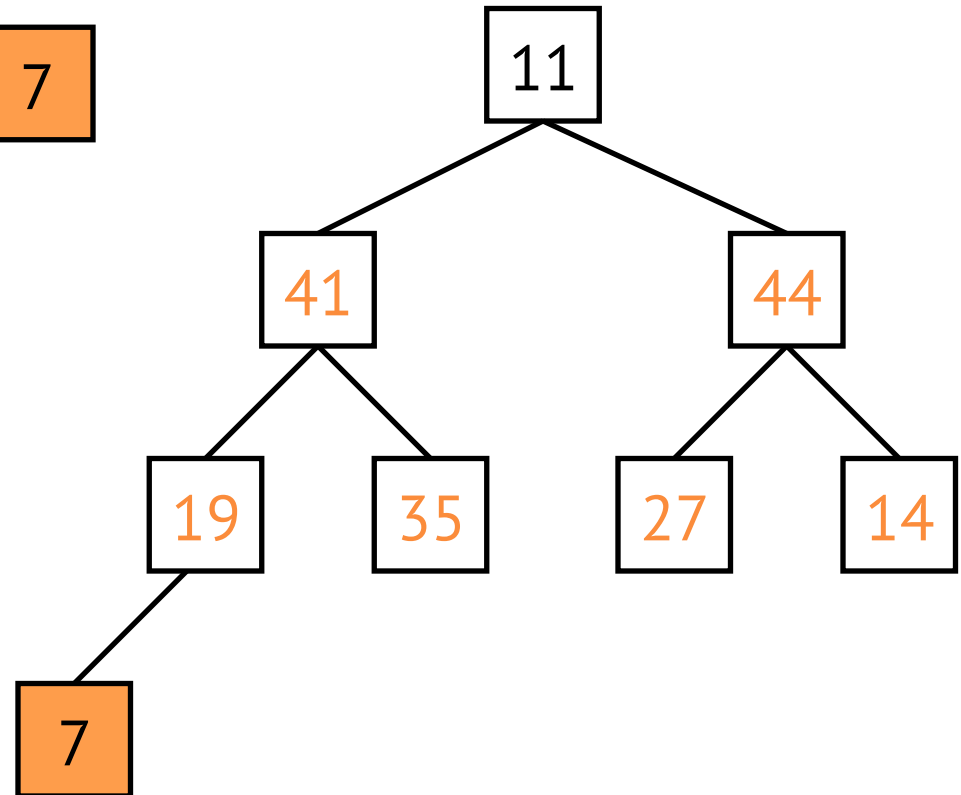
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



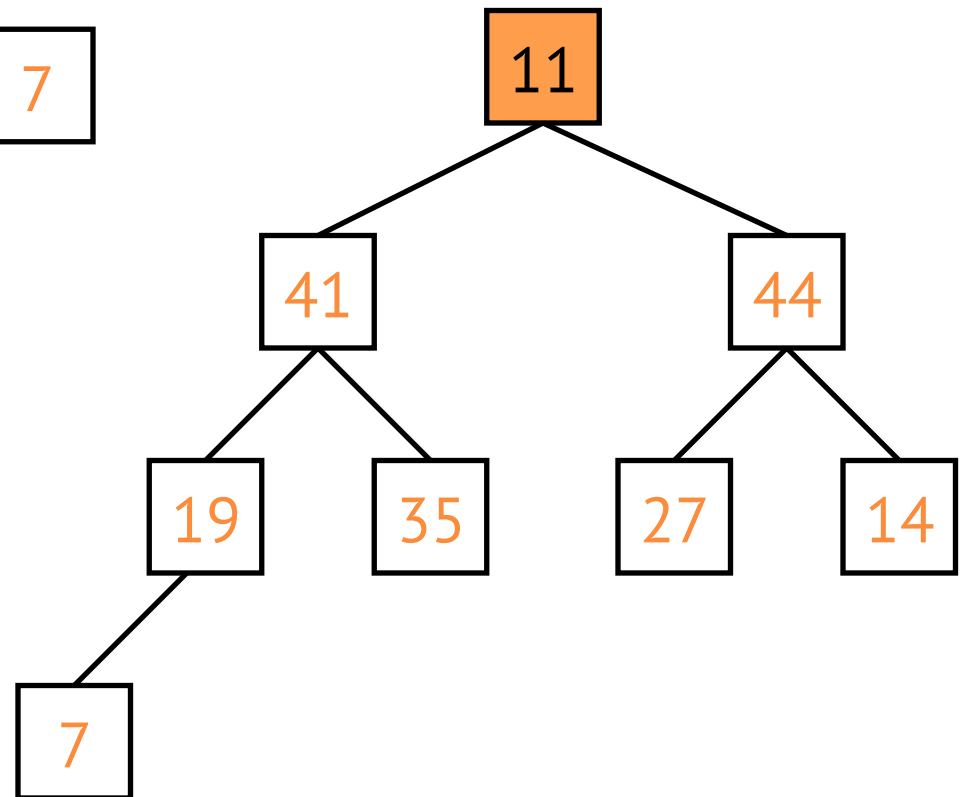
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



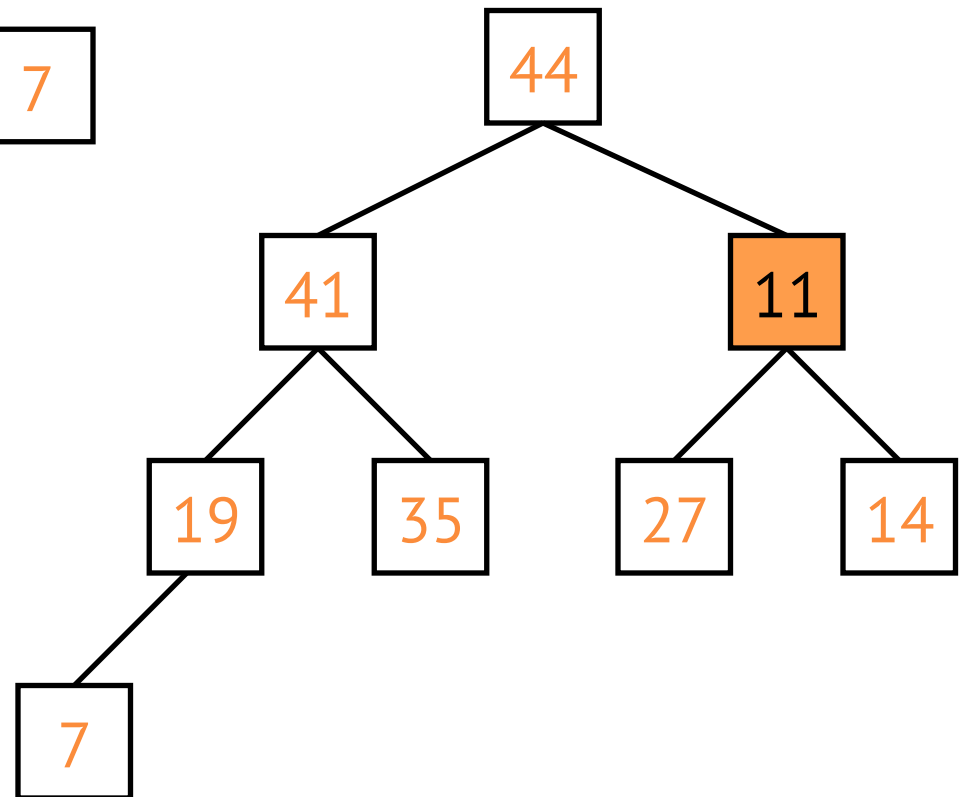
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



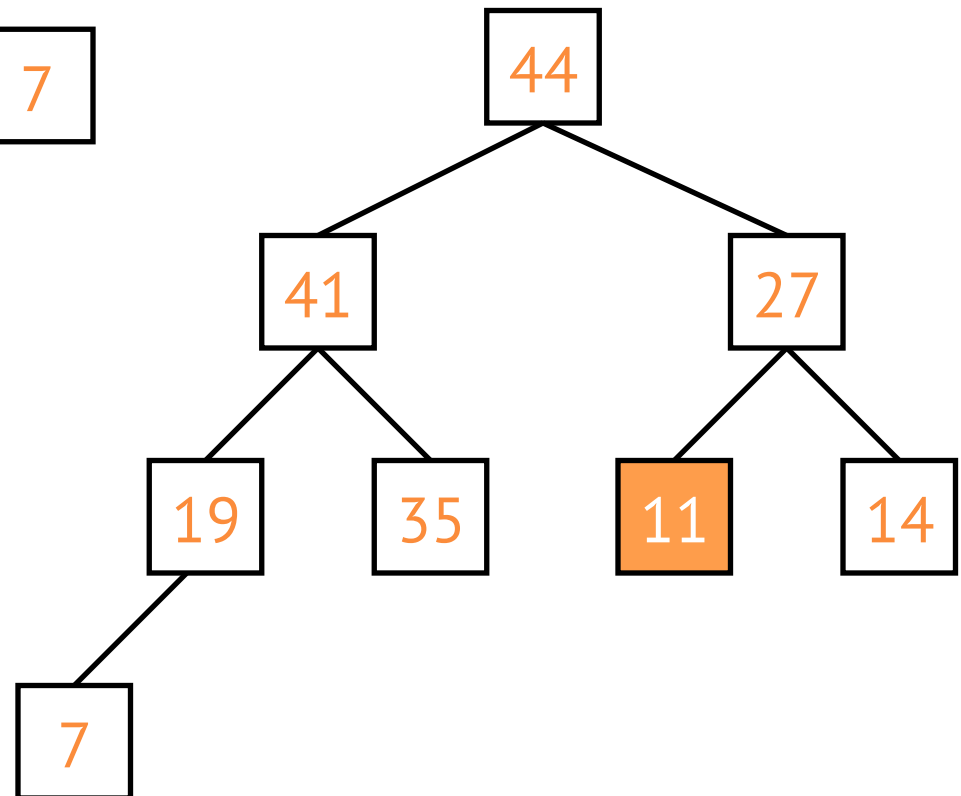
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



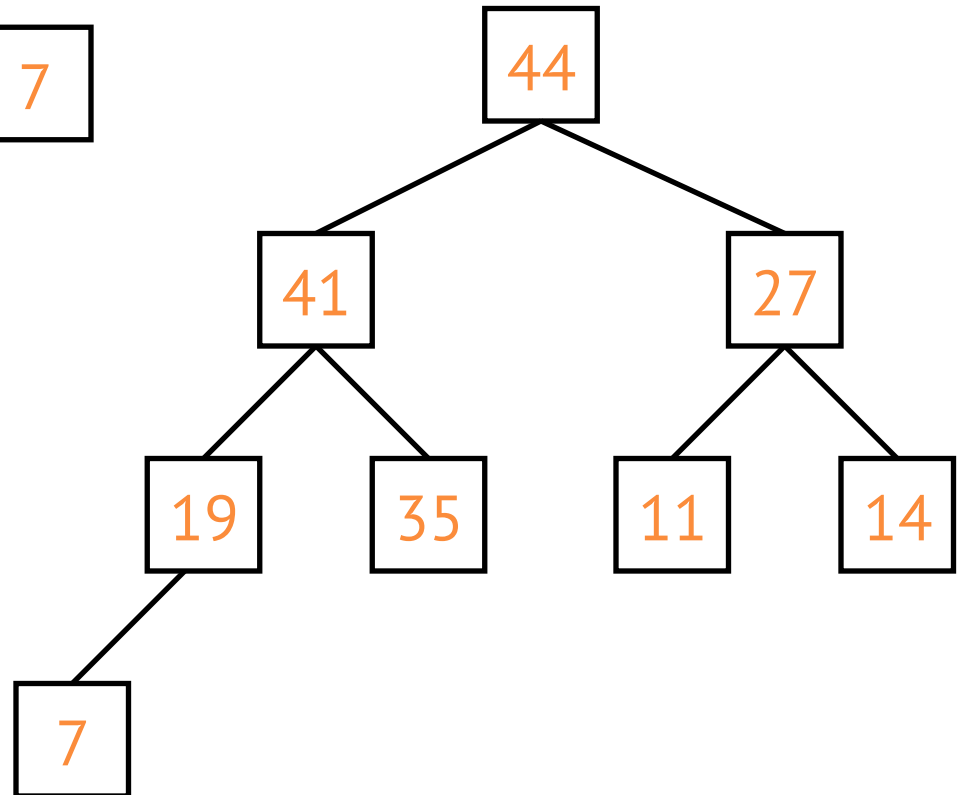
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



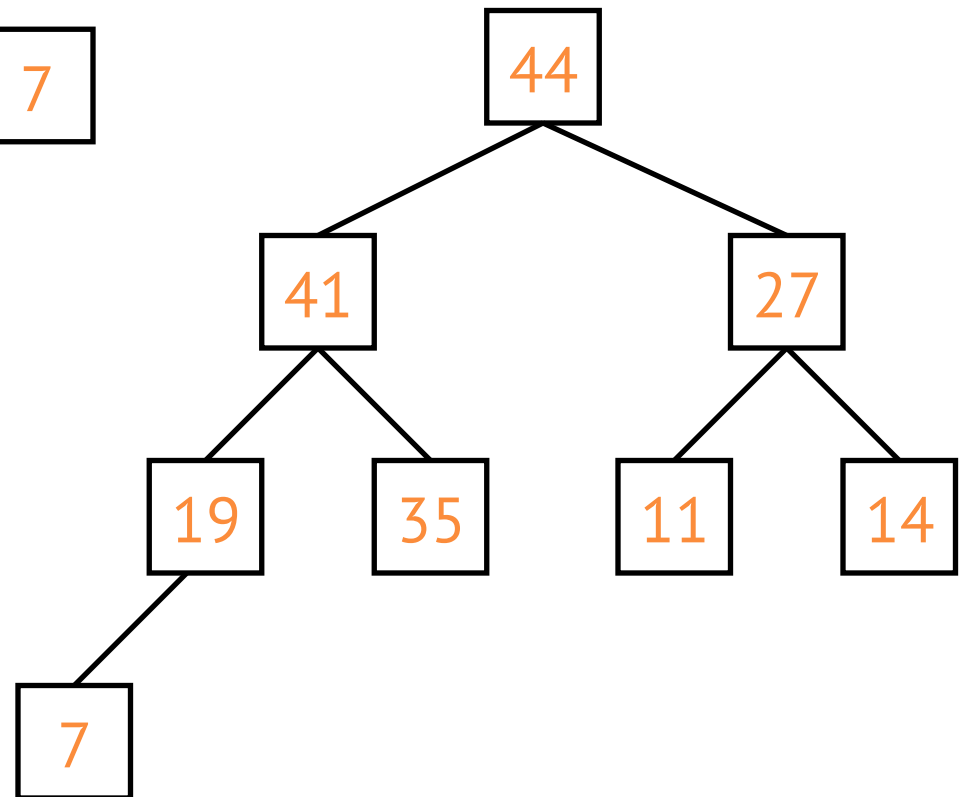
Heap-Sort: Beispiel

Phase I: Aufbau des Heaps



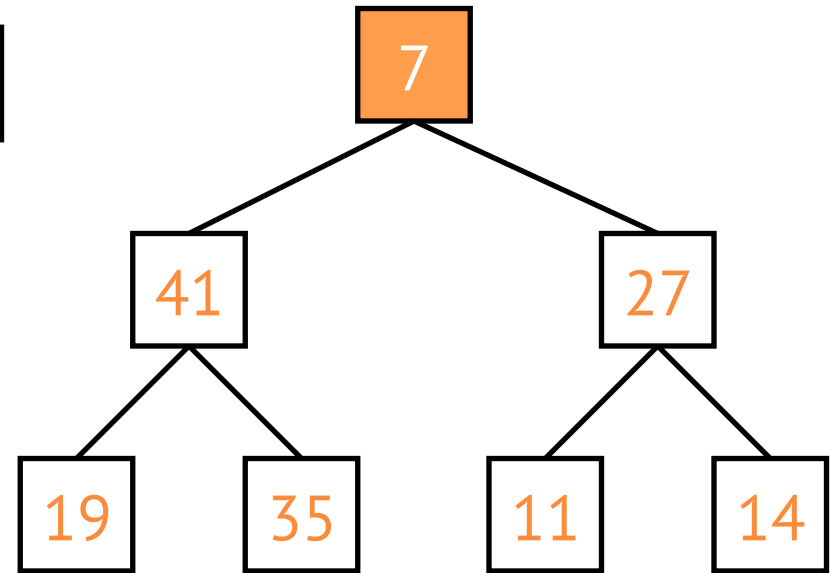
Heap-Sort: Beispiel

Phase II: Selection-Sort



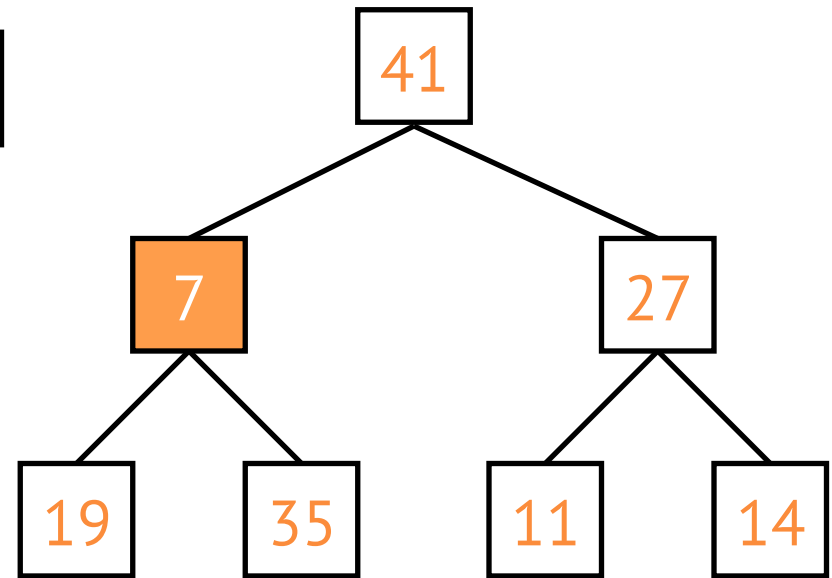
Heap-Sort: Beispiel

Phase II: Selection-Sort



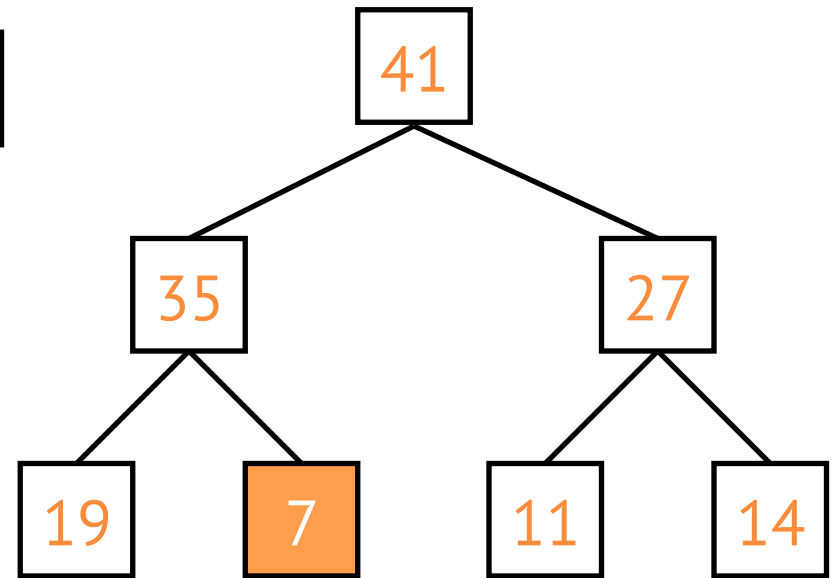
Heap-Sort: Beispiel

Phase II: Selection-Sort



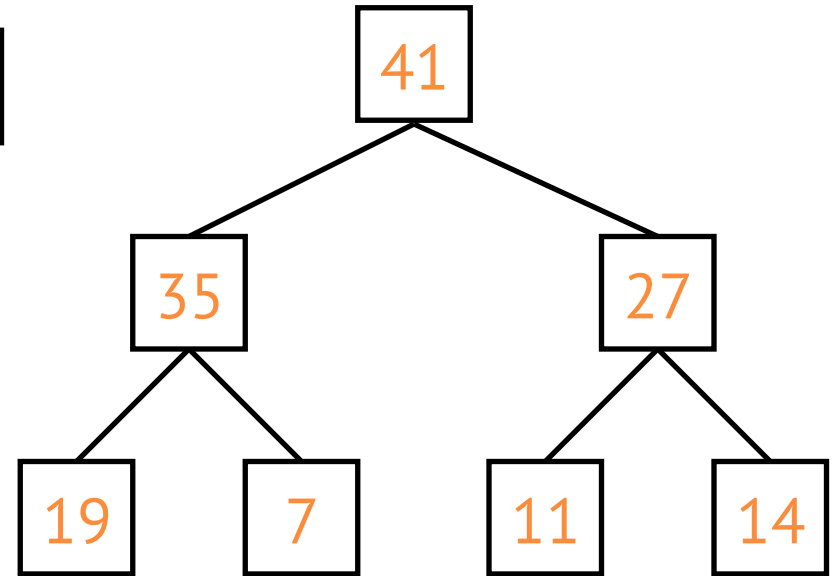
Heap-Sort: Beispiel

Phase II: Selection-Sort



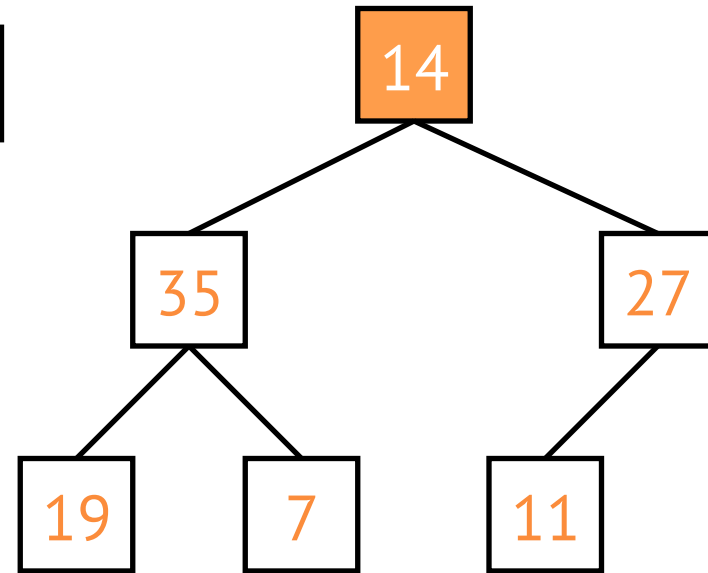
Heap-Sort: Beispiel

Phase II: Selection-Sort



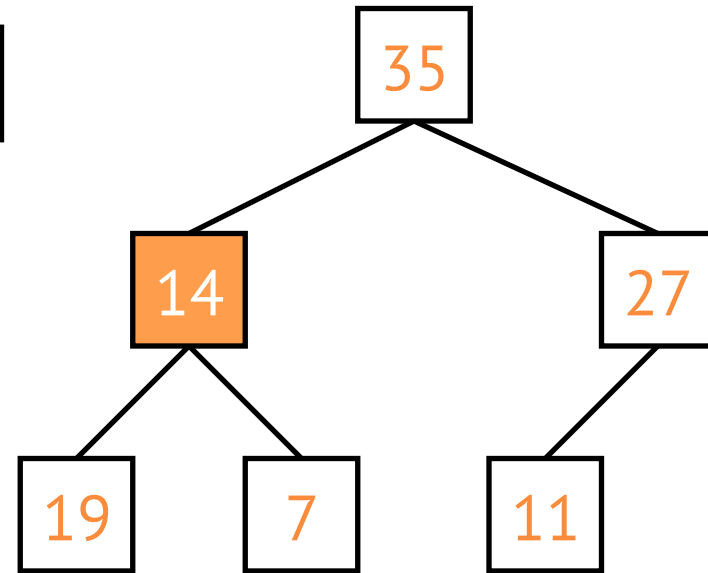
Heap-Sort: Beispiel

Phase II: Selection-Sort



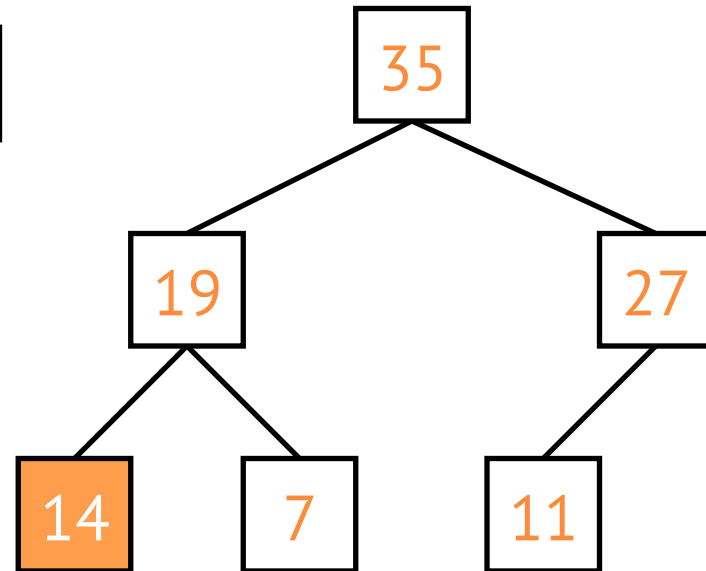
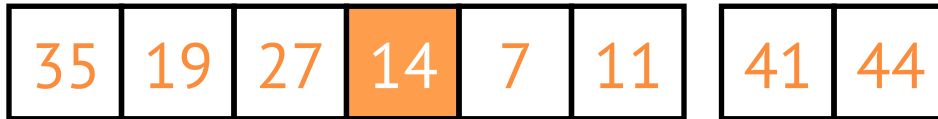
Heap-Sort: Beispiel

Phase II: Selection-Sort



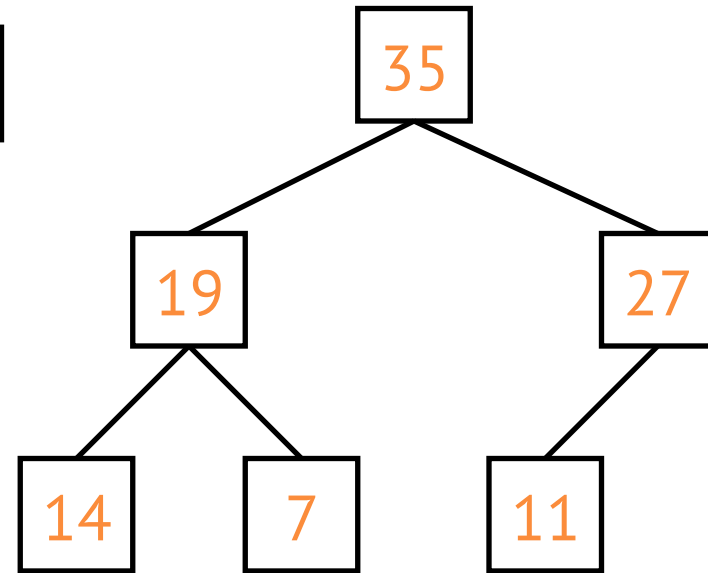
Heap-Sort: Beispiel

Phase II: Selection-Sort



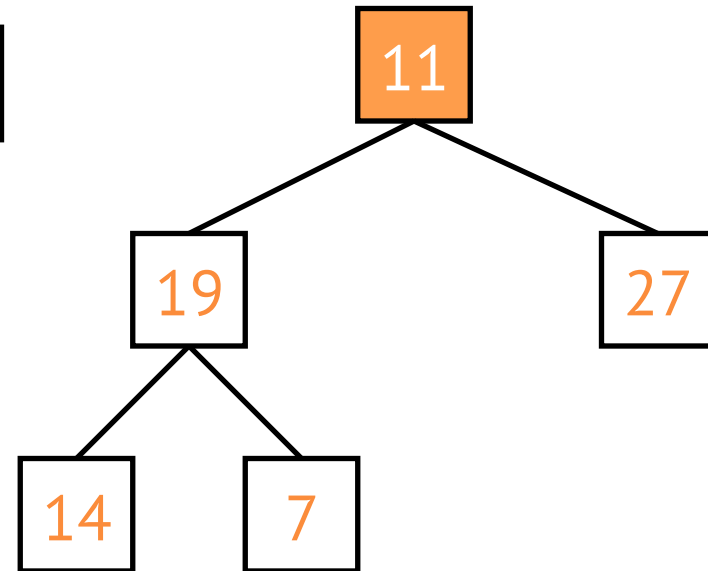
Heap-Sort: Beispiel

Phase II: Selection-Sort



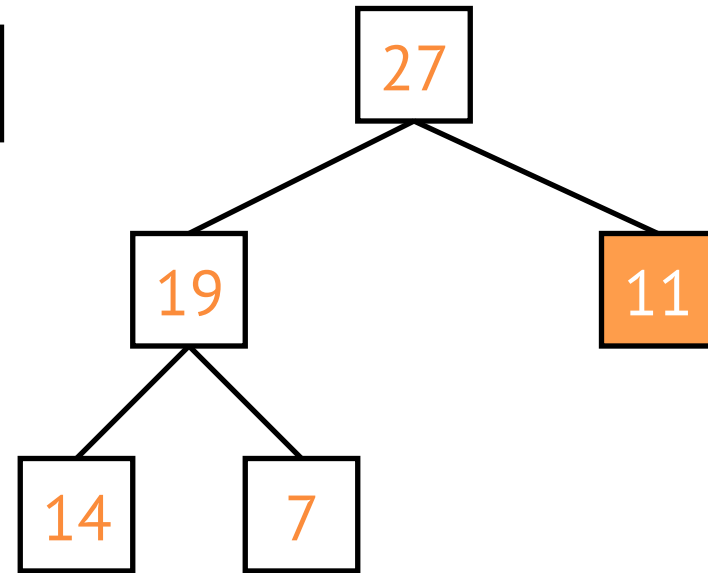
Heap-Sort: Beispiel

Phase II: Selection-Sort



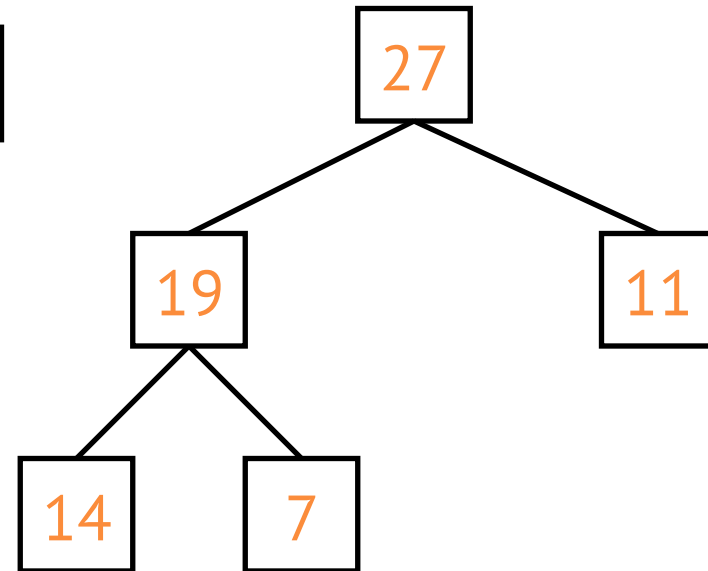
Heap-Sort: Beispiel

Phase II: Selection-Sort



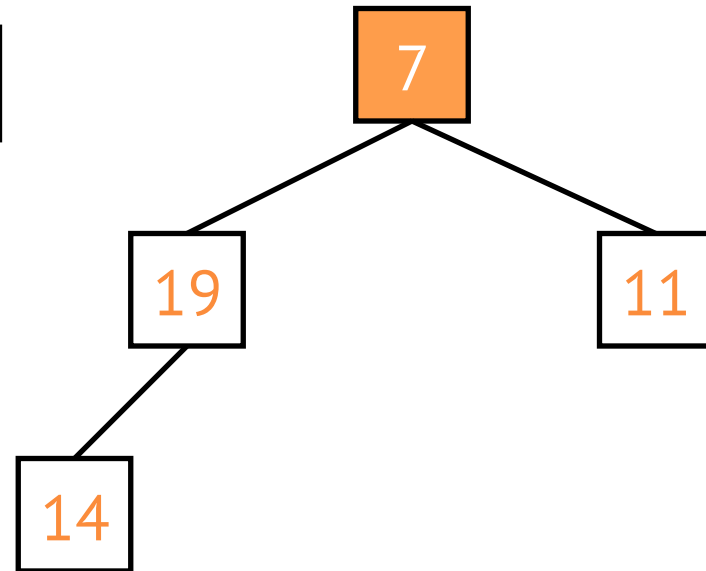
Heap-Sort: Beispiel

Phase II: Selection-Sort



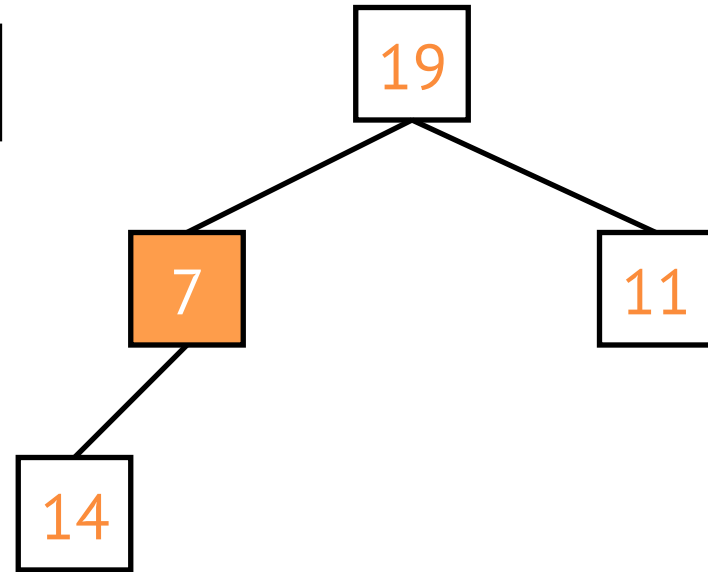
Heap-Sort: Beispiel

Phase II: Selection-Sort



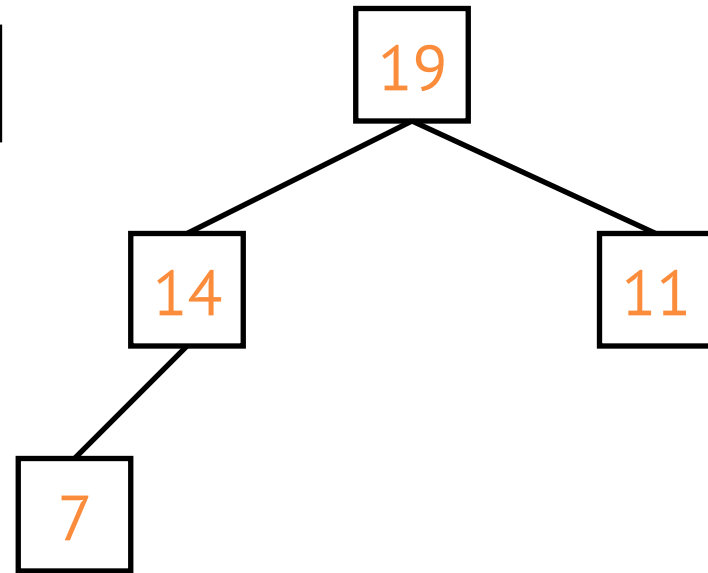
Heap-Sort: Beispiel

Phase II: Selection-Sort

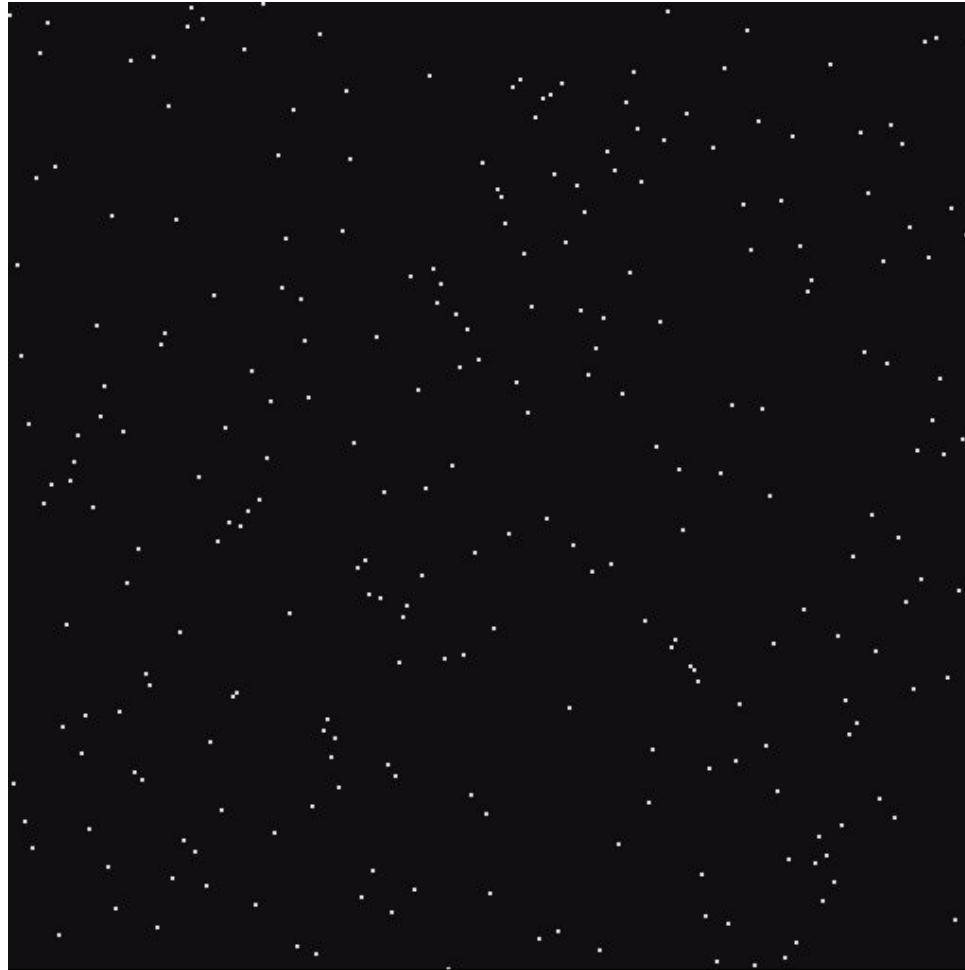


Heap-Sort: Beispiel

Phase II: Selection-Sort



Heap-Sort: Beispiel



Heap-Sort: Aufwand

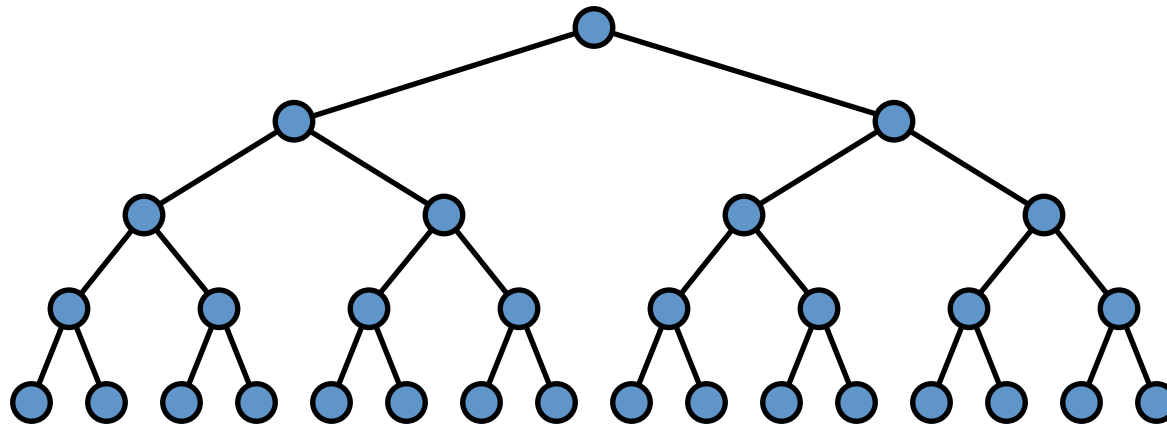
- Aufwand
 - Aufwand zum Aufbau des Heaps
 - Aufwand Selection-Sort



Aufwand: ConvertToHeap()

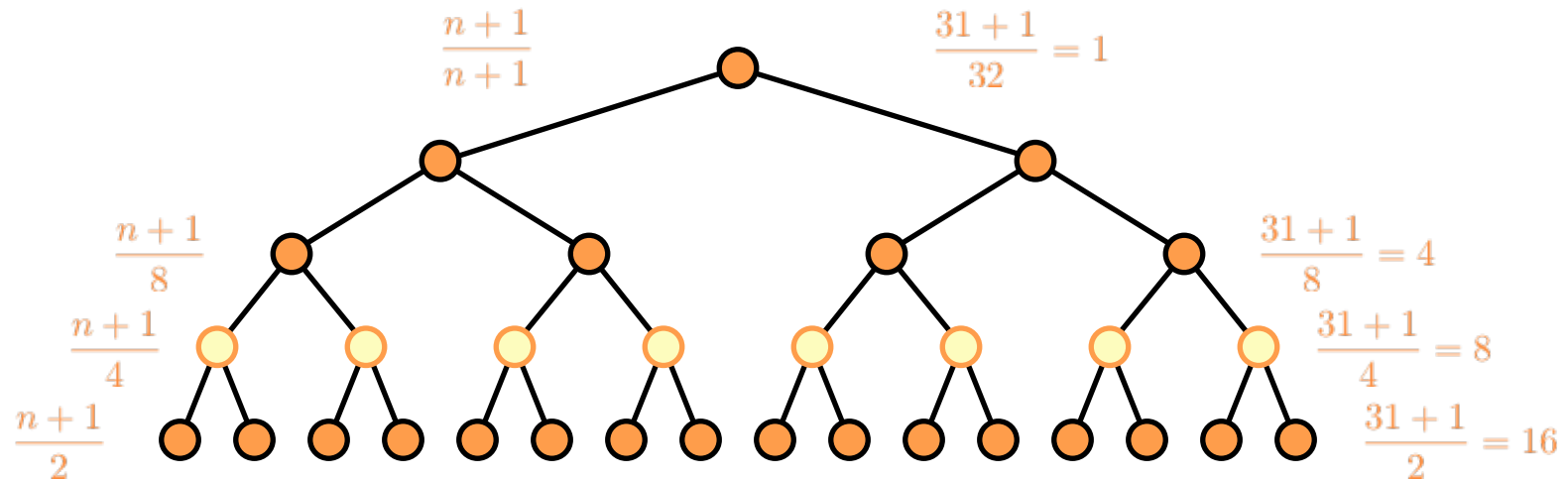
- ConvertToHeap()
 - Sink()-Operation = $O(\text{Höhe des Heap})$
 - Sei $n = 2^k - 1 \dots$

$$n = 31, k = 5$$



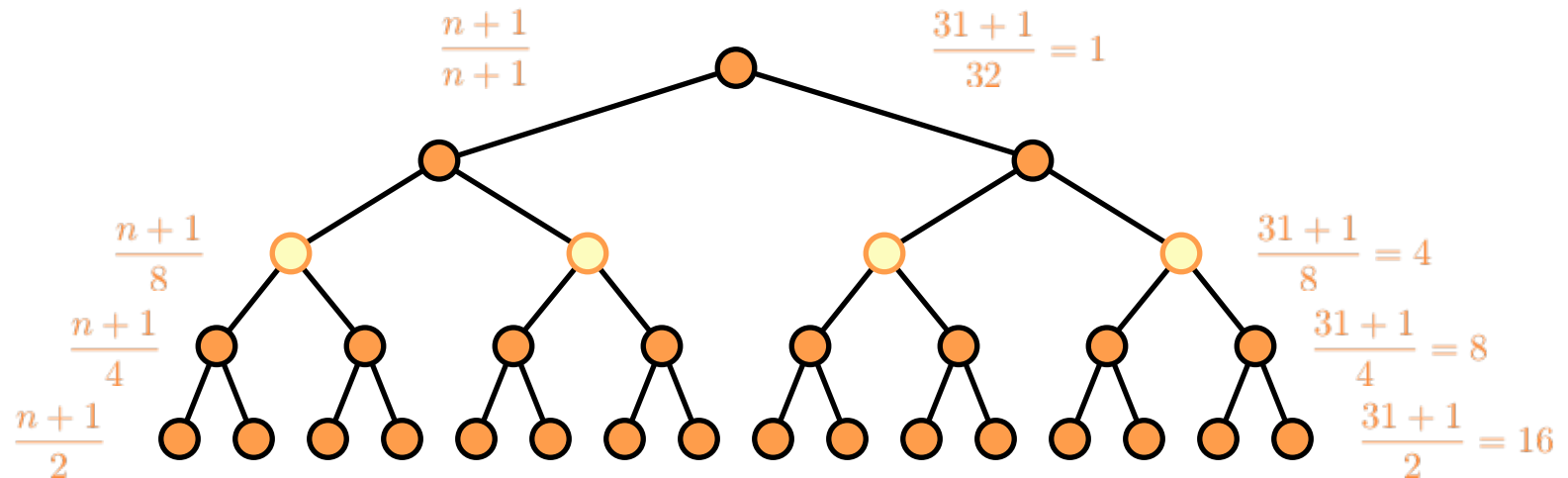
Aufwand: ConvertToHeap()

$$\begin{aligned}
 T_1(n) &= c \left(1 \frac{n+1}{4} + 2 \frac{n+1}{8} + \dots + (\log(n+1) - 1) \frac{n+1}{n+1} \right) \\
 &= c \left(1 \frac{n+1}{4} + \dots + i \frac{n+1}{2^{i+1}} + \dots + (k-1) \frac{n+1}{2^k} \right) \\
 &= \frac{c}{2} (n+1) \sum_{i=1}^{k-1} \frac{i}{2^i}
 \end{aligned}$$



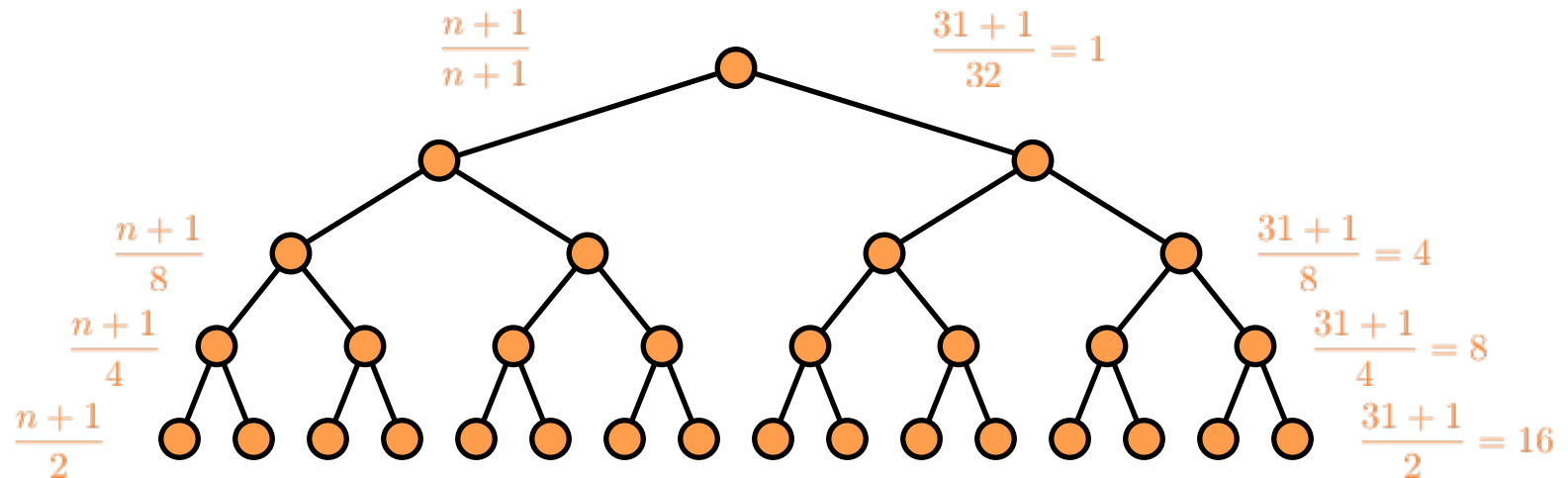
Aufwand: ConvertToHeap()

$$\begin{aligned}
 T_1(n) &= c \left(1 \frac{n+1}{4} + 2 \frac{n+1}{8} + \dots + (\log(n+1) - 1) \frac{n+1}{n+1} \right) \\
 &= c \left(1 \frac{n+1}{4} + \dots + i \frac{n+1}{2^{i+1}} + \dots + (k-1) \frac{n+1}{2^k} \right) \\
 &= \frac{c}{2} (n+1) \sum_{i=1}^{k-1} \frac{i}{2^i}
 \end{aligned}$$



Aufwand: ConvertToHeap()

$$\begin{aligned}
 T_1(n) &= c \left(1 \frac{n+1}{4} + 2 \frac{n+1}{8} + \dots + (\log(n+1) - 1) \frac{n+1}{n+1} \right) \\
 &= c \left(1 \frac{n+1}{4} + \dots + i \frac{n+1}{2^{i+1}} + \dots + (k-1) \frac{n+1}{2^k} \right) \\
 &= \frac{c}{2} (n+1) \sum_{i=1}^{k-1} \frac{i}{2^i}
 \end{aligned}$$

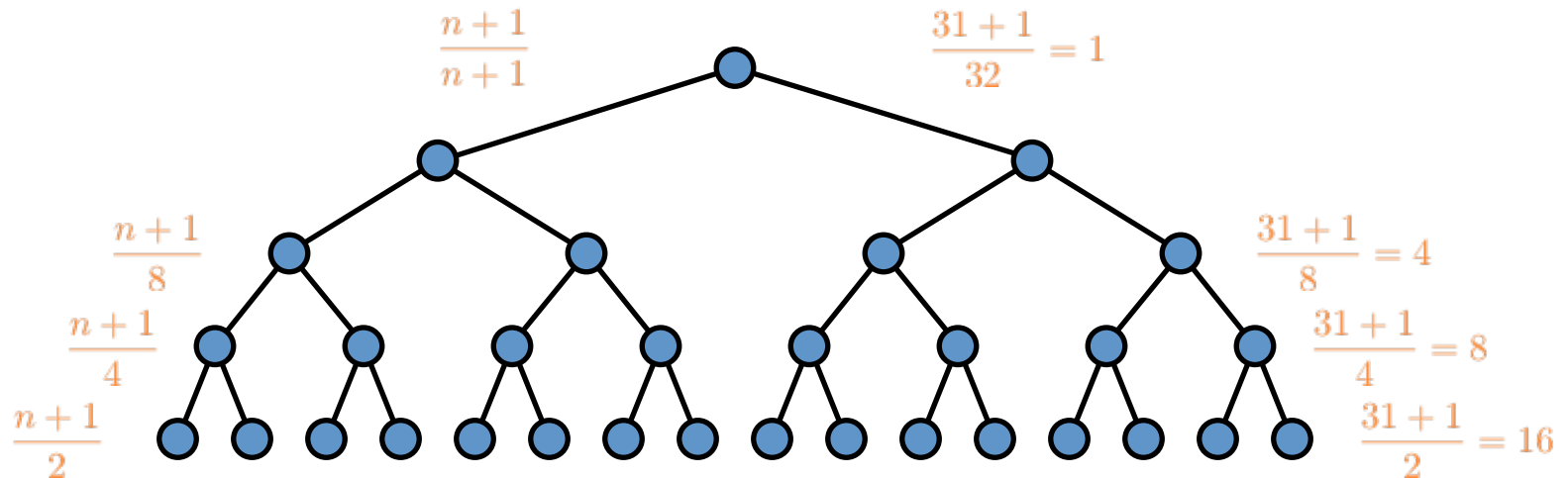


Aufwand: ConvertToHeap()

$$\begin{aligned} T_1(n) &\leq \frac{c}{2}(n+1) \sum_{i=1}^{k-1} \frac{i}{2^i} \\ &= \frac{c}{2}(n+1) \underbrace{\left(2 - \frac{k+1}{2^{k-1}} \right)}_{<2} \\ &= O(n) \end{aligned}$$

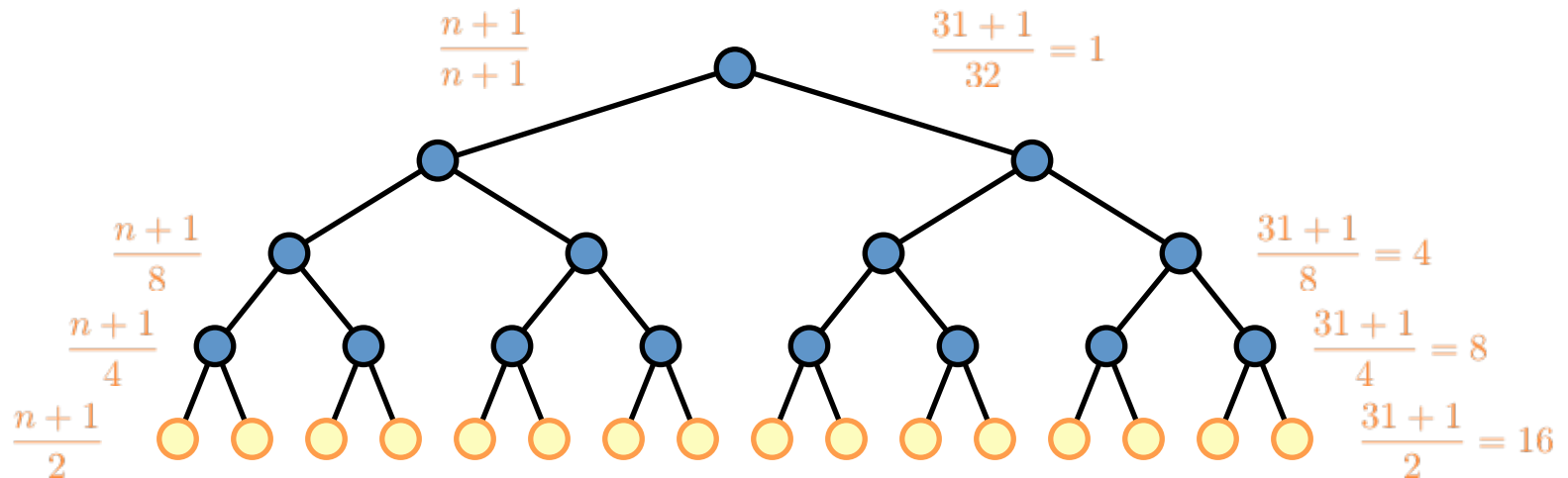
Aufwand: Selection-Sort

- Selection-Sort
 - Kosten für die Wiederherstellung der Heap-Eigenschaft = $O(\text{Höhe des restlichen Heaps})$
 - Sei $n = 2^k - 1 \dots$



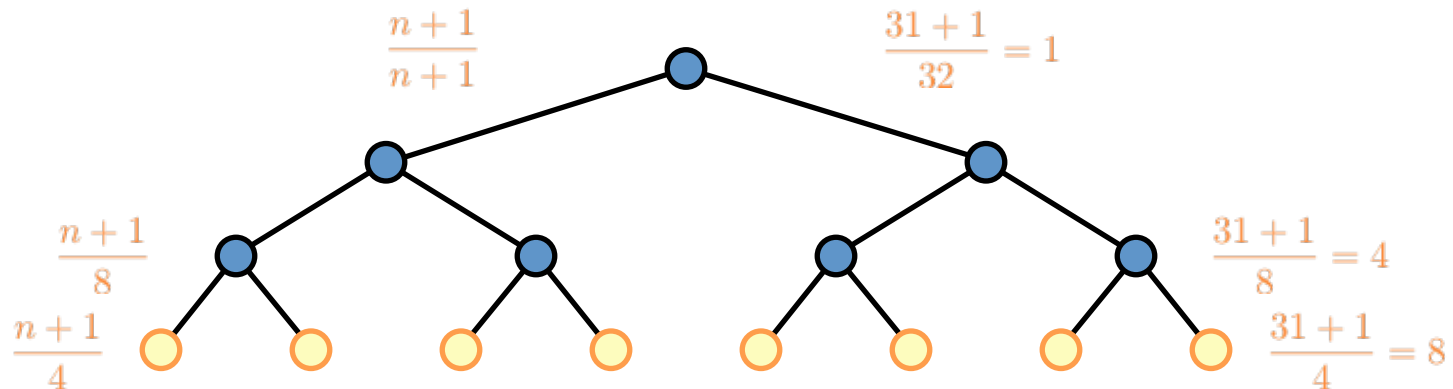
Aufwand: Selection-Sort

- Selection-Sort
 - Kosten für die Wiederherstellung der Heap-Eigenschaft = $O(\text{Höhe des restlichen Heaps})$
 - Sei $n = 2^k - 1 \dots$



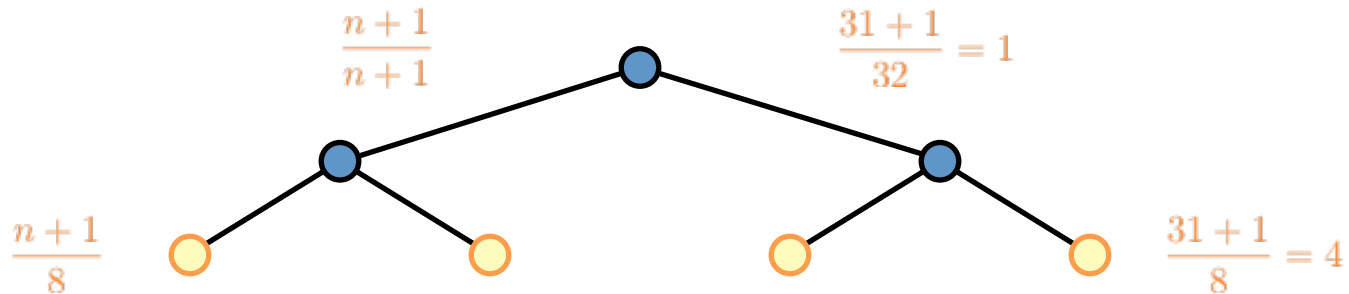
Aufwand: Selection-Sort

- Selection-Sort
 - Kosten für die Wiederherstellung der Heap-Eigenschaft = $O(\text{Höhe des restlichen Heaps})$
 - Sei $n = 2^k - 1 \dots$



Aufwand: Selection-Sort

- Selection-Sort
 - Kosten für die Wiederherstellung der Heap-Eigenschaft = $O(\text{Höhe des restlichen Heaps})$
 - Sei $n = 2^k - 1 \dots$



Aufwand: SelectionSort

$$\begin{aligned}T_2(n) &\leq c \left((k-1) \frac{n+1}{2} + (k-2) \frac{n+1}{4} + \dots + 0 \frac{n+1}{n+1} \right) \\&= c \left((k-1) \frac{n+1}{2} + \dots + (k-i) \frac{n+1}{2^i} + \dots \right) \\&= c(n+1) \sum_{i=1}^{k-1} (k-i) 2^{-i} \\&= c(n+1) \sum_{i=1}^{k-1} i 2^{i-k} \\&= c(n+1) \frac{1}{2^k} \sum_{i=1}^{k-1} i 2^i \\&= c(n+1) \frac{1}{2^k} (2^k (k-2) + 2) \\&= c(n+1) \left(k-2 + \frac{1}{2^{k-1}} \right) \\&= O(n \log n)\end{aligned}$$



Aufwandsabschätzung

- Insgesamt ... worst / average case

$$\begin{aligned}T(n) &= T_1(n) + T_2(n) \\ &= O(n) + O(n \times \log n) \\ &= O(n \times \log n)\end{aligned}$$

- Best case: $O(n)$... alle Elemente gleich
- Vorsortierung wird nicht ausgenutzt



Vergleich

	Average	Worst
Quick-Sort	$O(n \times \log n)$	$O(n^2)$
Merge-Sort (doppelter Speicher)	$O(n \times \log n)$	$O(n \times \log n)$
Heap-Sort	$O(n \times \log n)$	$O(n \times \log n)$

